



PROFESSIONAL SERVICES AGREEMENT NO. 47729

Company Name: Arcadis U.S., Inc.

Subject: Owner's Agent at Fairmont Sedimentation Plant

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**AGREEMENT NUMBER 47729**

**BETWEEN  
THE CITY OF LOS ANGELES DEPARTMENT OF WATER AND POWER  
AND  
ARCADIS U.S., INC.**

**THIS AGREEMENT** is made and entered into by and between the City of Los Angeles acting by and through its Department of Water and Power, a municipal corporation, (hereinafter "LADWP") and Arcadis U.S., Inc. Los Angeles, CA, a corporation (hereinafter the "Consultant" or "Contractor"). Individually, LADWP and Consultant are referred to under this Agreement as a "Party" and collectively as the "Parties."

In consideration of the mutual covenants of the parties as set forth below, the parties hereby agree as follows:

**ARTICLE I: PARTIES AND NOTICE**

**1.1 Parties to the Agreement**

The Parties to this Agreement are:

Los Angeles Department of Water and Power (LADWP)  
111 North Hope Street  
Los Angeles, California 90012

**and**

Arcadis U.S., Inc.  
445 S. Figueroa  
Suite 3650  
Los Angeles, CA 90017

**1.2 Service of Notices**

All notices, demands and communications regarding the interpretation of the terms of this Agreement or changes thereto shall be made in writing and may be effected by personal delivery or by certified mail, overnight carrier, or confirmed facsimile and shall be deemed communicated as of the date of delivery or the date of mailing, whichever is applicable, or in the case of a facsimile or email, upon receipt if transmitted during the receiving Party's normal business hours, otherwise on the first business day following receipt.

If the name or address of the person(s) designated to receive notices, demands or communications, is changed, or additional persons are added to receive

notices, demands or communications, written notice shall be given to the other Party, in accord with this article, of said change.

The authorized representatives to receive said notices shall be:

Authorized representatives of LADWP:

Evelyn Cortez-Davis  
Director of Water Engineering & Technical Services  
111 N. Hope Street  
Room 1336  
Los Angeles, CA 90012  
Phone Number: (213) 367-0866  
Email Address: [evelyn.cortez-davis@ladwp.com](mailto:evelyn.cortez-davis@ladwp.com)

With copies to:

Priscilla Gonzalez  
Contract Administrator  
111 N. Hope Street  
Room 1315  
Los Angeles, CA 90012  
Phone Number: (213) 367-4738  
Email Address: [priscilla.gonzalez@ladwp.com](mailto:priscilla.gonzalez@ladwp.com)

Authorized representatives of the Consultant:

Christine Cotton  
Senior Vice President  
445 South Figueroa, Suite 3650  
Los Angeles, CA 90017  
Phone Number: (213) 797-5304  
Email Address: [christine.cotton@arcadis.com](mailto:christine.cotton@arcadis.com)

**and**

Michael Maxwell  
Vice President  
445 South Figueroa, Suite 3650  
Los Angeles, CA 90017  
Phone Number: (213) 797.5259  
Email Address: [michael.maxwell@arcadis.com](mailto:michael.maxwell@arcadis.com)

### **1.3 Execution of Task Orders and Contract Administration**

The LADWP authorized representatives identified in Article 1.2 above are authorized to execute Task Orders, and perform Contract Administration duties such as issue Change Order Notices, formally approve Deliverables, review invoices submitted for payment, etc.

## **ARTICLE II: TERM OF THE AGREEMENT**

### **2.1 Term of the Agreement**

The term of this Agreement shall commence upon execution of this Agreement by all Parties hereto and shall terminate nine (9) years thereafter, subject to the termination provisions herein. Performance shall not begin until the Consultant has obtained LADWP approval of insurance required herein.

### **2.2 Extension Options**

At LADWP's sole option, the term of this Agreement may be extended for up to an additional one (1) year or any portion thereof.

## **ARTICLE III: TIME**

LADWP and the Consultant understand and agree that "Time is of the Essence" in performance of this Agreement.

## **ARTICLE IV: COMPENSATION AND PAYMENT**

### **4.1 Compensation**

#### **4.1.1 Not-to-Exceed Amount**

The total compensation that may be paid to the Consultant by LADWP for complete and satisfactory performance of services under this Agreement shall not exceed twenty million dollars (\$20,000,000).

#### **4.1.2 Authorized Expenditures**

Of the total amount of compensation included in Article 4.1.1 above, LADWP shall pay the Consultant for services performed, tasks implemented, and deliverables provided as specified in individual Task Orders executed in accordance with Article 4.2, Allowable Fees and Costs, and Article VI, Task Order Development and Approval, of this Agreement. LADWP shall not be liable for payment of monies unless there is a written Task Order approved by LADWP's authorized representative(s) identified in Article 1.2 of this Agreement. Therefore,

there is no guarantee that the Consultant shall receive any amount of work during the term of this Agreement.

## **4.2 Allowable Fees and Costs**

LADWP shall pay for services established in a Task Order executed in accordance with Article VI, Task Order Development and Approval, of this Agreement and based upon the Consultant and Subconsultant labor rates established in **Exhibit C, Fee Schedule**, which is attached hereto and made a part hereof. Such labor rates are inclusive of salary, employee benefits, overhead, profit, general office expenses, administrative services, invoice preparation and processing, routine telecommunications, internet, personal computer, facsimile, routine postage, individual shipping charges of less than ten dollars (\$10.00), incidental copying, one hard copy of deliverables, and one electronic copy of deliverables costs.

### **4.2.1 Payment of Subconsultant Costs**

LADWP shall pay for Subconsultant expenses at the actual amount to be paid by the Consultant to the Subconsultant, consistent with the Subconsultant labor rates and fees established in **Exhibit C, Fee Schedule**, or the Subconsultant rates established in an authorized Task Order for services provided in accordance with this Agreement. In the event of a conflict between the Subconsultant rates established in **Exhibit C, Fee Schedule**, and an authorized Task Order, Subconsultant costs shall be paid at the lowest rate.

The Consultant may invoice for direct services in the management, oversight, and administration of Subconsultants, including the Consultant's reviewing and processing of Subconsultant invoices. No markup of any kind by the Consultant or Subconsultant for Subconsultant services of any tier shall be allowed.

### **4.2.2 Reimbursement of Travel Expenses**

Travel expenses necessary to perform required work for LADWP pursuant to an authorized Task Order must be pre-approved by LADWP. LADWP approved travel expenses shall be paid at the actual cost of such expenses, consistent with **Exhibit D, Allowable Travel Expenses**, which is attached hereto and made a part hereof. No markup of any kind by the Consultant or Subconsultant of any tier for travel expenses shall be allowed.



#### **4.2.3 Other Reimbursable Expenses**

Other reimbursable expenses include purchase of special equipment, necessary field supplies and facilities, testing and laboratory services, individual shipping charges in excess of ten dollars (\$10.00), materials, supplies used in the work performed for LADWP pursuant to an authorized Task Order. Reimbursable expenses shall be paid by LADWP at the actual cost of such expenses, the expense rates established in **Exhibit C, Fee Schedule**, or the expense rates established in an authorized Task Order, as applicable. In the event of a conflict between the expense rates established in **Exhibit C, Fee Schedule**, and an authorized Task Order, expenses shall be reimbursed at the lowest rate. No markup of any kind by the Consultant, Subconsultant of any tier, or supplier for other reimbursable expenses shall be allowed.

Any items purchased at the request of LADWP to accomplish the work shall become the property of LADWP upon purchase and shall be delivered to LADWP at the conclusion of the work. Any other items purchased by the Consultant for performance of services pursuant to an authorized Task Order shall be the property of the Consultant, shall not be charged to LADWP, and shall not be reimbursed by LADWP.

#### **4.2.4 Conditions for Payment for Overtime**

Any work required by an individual in excess of eight (8) hours a day, or on a weekend, holiday, or any other instance in which payment of an overtime or labor rate premium could be applicable shall be based on the labor rates established in **Exhibit C, Fee Schedule**. In special circumstances, an overtime or labor rate premium may be allowed at the sole option of LADWP, with prior written approval by an LADWP authorized representative designated in Article 1.2 of this Agreement. The overtime billing rate shall be calculated based upon the employee's salary rather than the entire fully loaded billing rate.

#### **4.2.5 Fee Schedule Adjustment**

The fee schedule set forth in Exhibit C – Fee Schedule is to be in effect from the date of contract execution and for a period of 12 months thereafter. The Consultant may request adjustments, upward or downward, to the fee schedule on the anniversary date of the execution and every 12 months thereafter, and is subject to the review and approval of LADWP's Authorized Representative. Any such request shall be made in writing and accompanied by a justification including supporting documents for the adjustment. These changes shall not exceed the previous year's Consumer Price Index amount calculated by using the "annual" average column data for the United States Department of Labor,

Bureau of Labor Statistics' Consumer Price Index, U.A. city average for Urban Wage Earners and Clerical Workers. Such request and approval shall be consistent with Article 10.2 of this Agreement.

#### **4.3 Method of Payment**

Payment for Consultant services shall be made in accordance with authorized Task Orders. The Consultant shall submit invoices to LADWP in accordance with authorized Task Orders, with the billings against each individual Task Order tracked separately.

##### **4.3.1 Required Invoice Information**

A hard copy of the invoice shall be submitted to:

Attn: Priscilla Gonzalez  
Contract Administrator  
Department of Water and Power  
City of Los Angeles  
PO Box 51111, Room 1315  
Los Angeles, CA 90051-5700

An electronic copy of the invoice must be concurrently submitted and emailed to [priscilla.gonzalez@ladwp.com](mailto:priscilla.gonzalez@ladwp.com), and invoices shall be submitted to:

Accounts Payable Business Unit  
Los Angeles Department of Water and Power  
PO Box 51211, Room 424  
Los Angeles, CA 90051-5511

The following information shall be included in each invoice submitted by the Consultant to LADWP:

1. Consultant name, address, and vendor code number as registered on LADWP vendor database
2. City of Los Angeles Business Tax Registration Number
3. Internal Revenue Service ID Number
4. Date of invoice
5. Invoice number
6. Contract number
7. Summary of individual Task Orders, including amount of current invoice, total invoiced to date, total authorized Task Order amount, Task Order percent complete, and percent of authorized Task Order cost invoiced to date, and the end date of the Task Order

8. Description of services and deliverables provided related to each individual Task Order and associated costs
9. Supporting documentation for all costs and expenses, in a format acceptable to LADWP
10. Following certification statement signed by the Consultant:  
"I hereby certify, under penalty of perjury, that the services rendered and billings reflected in this invoice are true, accurate and in conformance with the terms of this Agreement, including but not limited to the Living Wage Ordinance, Los Angeles Administrative Code Section 10.37 et. Seq."
11. Taxes
12. Total amount of invoice
13. Approval signature blocks for LADWP project manager and LADWP authorized representative(s) identified in Article 1.1, Parties to the Agreement and Service of Notices, of this Agreement
14. An accompanying LADWP Subcontractor Utilization Form, or its successor reporting format, identifying the amounts paid to each authorized Subconsultant for both the current invoice and total invoiced to date. The Consultant shall explain any deviations from the anticipated Subconsultant percentages identified in **Exhibit E, List of Subconsultants**, attached hereto and made a part hereof, and recommendations for recovering any shortfalls in Subconsultant utilization
15. The following specific language in the invoices/bills is acceptable:  
"Consultant certifies that all work performed for which this invoice/bill is submitted which required access to critical facilities as designated by LADWP was performed by persons for whom background checks have been conducted, and for whom no disqualifying information (including felonies, offenses or moral turpitude, and other disqualifying criteria, if any, as specified in the Agreement between the Consultant and LADWP) has been found."

Consultant's failure to submit accurate and all required information shall result in LADWP's rejection of the invoice and non-payment.

#### **4.3.2 Time and Material Task Order Invoices**

For Task Orders specifying a time and materials method of payment, the Consultant shall invoice LADWP on a monthly basis for costs and expenses. The Consultant shall provide documents supporting costs and expenses, including original receipts or invoices for expenses in excess of \$25.00, summary of total hours worked by specified individual Consultant employees and the applicable hourly rate, and time sheets or payroll records as appropriate to support individual employee hours worked, with each monthly invoice. Payment shall be made within forty-five (45)

calendar days of receipt of the Consultant's invoice prepared in accordance with the requirements of Article 4.3.1 of this Agreement.

#### **4.3.3 Fixed Price Task Order Invoices**

For Task Orders specifying a fixed price method of payment, payment shall be made within forty-five (45) calendar days after review and approval of the deliverable by LADWP or receipt of the Consultant's invoice prepared in accordance with the requirements of Article 4.3.1, whichever is later.

#### **4.3.4 Notice of Items Not Approved for Payment**

LADWP's project manager will review the Consultant invoice within fifteen (15) working days and notify the Consultant in writing of any missing or required additional documents, questioned costs, inaccuracies, or concerns.

In the event that any deliverables, labor, or reimbursable expenses invoiced by the Consultant are not approved for payment, LADWP shall provide the Consultant with detailed comments addressing the shortfalls or costs of concern and shall meet with the Consultant to discuss such issues. Any disputes between LADWP and the Consultant regarding invoices costs and expenses shall be resolved in accordance with Article XI, Disputes, of this Agreement. LADWP shall pay undisputed invoice amounts.

#### **4.3.5 Notification of Status of Task Order Expenditures**

The Consultant shall notify LADWP in writing when costs reach 50 and 75 percent of the authorized Task Order amount. Such notice shall include an assessment of whether or not the tasks assigned in the Task Order can be completed within the authorized expenditure amount, and if not the Consultant shall propose suggested modifications to the Task Order for consideration by LADWP. Failure of the Consultant to provide such written notification may result in late payment of invoices by LADWP.

The notice shall state the estimated amount of additional funds required to continue performance for the period specified in the Schedule. At the time of the Notice, the Consultant shall notify the Authorized Representative in writing of the estimated amount of additional funds, if any, required to continue timely performance under the Task Order, and when the funds will be required.

If, after notification, additional funds are not approved by the end of the Task Order period or another agreed-upon date, upon the Consultant's

written request the LADWP Authorized Representative may in its sole discretion terminate the Task Order.

#### **4.3.6 Timely Invoicing**

All charges related to the performance of the Consultant's work or services for any Task Order, including Subconsultant and other reimbursable expenses, shall be invoiced by the Consultant to LADWP within six (6) months of the cost or expenses being incurred by the Consultant or Subconsultant. LADWP shall not reimburse the Consultant for any costs, expenses, work, or services invoiced to LADWP six (6) months after the date the costs were incurred by the Consultant or Subconsultant.

#### **4.3.7 Maximum Authorized Amount**

Notwithstanding any other provision of this Agreement, any changes or additions hereto that would increase LADWP's total obligation above the maximum authorized amount set forth in Article 4.1.1 of this Agreement shall be subject to prior approval by the Board of Water and Power Commissioners. LADWP shall not be obligated to pay for work performed by the Consultant for any such changes made in violation of this Agreement.

### **ARTICLE V: PROVISION OF SERVICES**

#### **5.1 Services to be Provided by the Consultant**

During the term of this Agreement, the Consultant shall provide the services, tasks, and deliverables identified in the **Statement of Work (Exhibit H)** included herein, authorized by LADWP in this Agreement, and as set forth and agreed to by the Parties in individual Task Orders.

##### **5.1.1 Description of Consultant Services**

Consultant shall provide the services described in **Exhibit H**, and as set forth and agreed to by the Parties in individual Task Orders.

Notwithstanding any other provision of this Agreement, the Consultant shall perform such other work and deliver such other items as are necessary to ensure that the services and deliverables provided under this Agreement meet the requirements set forth in this Agreement, including all Exhibits and attachments.

##### **5.1.2 LADWP Approval of Work**

All services, work, tasks, and deliverables are subject to LADWP approval, which approval shall not be unreasonably withheld. Failure to receive approval may result in withholding compensation for such services, work, tasks, and deliverables pursuant to Article IV, Compensation and Payment, of this Agreement.

LADWP reserves the right to contract separately with other consultants to review the Consultant's deliverables and other work products produced in accordance with the terms of this Agreement. If LADWP contracts separately with other consultants to assist LADWP in reviewing the Consultant's deliverables and other work products, the Consultant agrees to cooperate fully and coordinate with such other consultants.

The Consultant shall provide access and make available to LADWP the Consultant's internal documents, reports, and reviews directly related to the work being performed pursuant to this Agreement, such as Project Schedule related documents and performance and project management audits.

### **5.1.3 Industry Standard of Care**

The Consultant shall perform the work described herein in accordance with industry standards of care and shall reflect competent professional knowledge and judgment.

## **5.2 Consultant Personnel**

### **5.2.1 Key Consultant Personnel**

Key Consultant personnel to be assigned to this Agreement are identified in the **List of Key Consultant Personnel** set forth in **Exhibit F**. Key Consultant Personnel shall be available to perform under the terms and conditions of this Agreement immediately upon commencement of the term of this Agreement. LADWP considers the services of the Consultant's key personnel listed in **Exhibit F** essential to the Consultant's performance under this agreement.

The Consultant shall not reassign any key personnel without LADWP's prior written consent. LADWP shall review and approve or disapprove any personnel who are designated as key personnel in **Exhibit F** for any reason at its sole discretion. LADWP shall act reasonably in exercising its discretion to approve or disapprove any key personnel.

### **5.2.2 Unavailability of Key Personnel**

In the event individual key personnel listed in **Exhibit F** are terminated either with or without cause, or if individual key personnel are otherwise unavailable to perform services for the Consultant, the Consultant shall provide to LADWP written notification detailing the circumstances of the unavailability. The written notification shall designate replacement personnel prior to the effective date of individual key personnel termination or unavailability date, to the maximum extent feasible, but no later than five (5) business days after the effective date of the individual key personnel termination or unavailability. The Consultant shall propose replacement personnel who have a level of experience and expertise equivalent to the unavailable individual key personnel for LADWP review and approval.

The Consultant recognizes and agrees that early notification of the unavailability of key Consultant personnel and proposed replacement personnel is essential to avoiding delays in completing the services, work, tasks, and deliverables established in this Agreement or authorized Task Orders since the award of this agreement was predicated upon the competency of the Key Personnel provided.

### **5.2.3 Removal of Consultant Personnel**

LADWP shall have the right, in its absolute discretion, to require the removal of Consultant's personnel at any level assigned to the performance of the Services or Work, if LADWP considers such removal necessary in the best interests of the Program and requests such removal in writing. Such personnel shall be promptly removed from the Project by the Consultant at no cost or expense to LADWP. Further, an employee who is removed from the Project for any reason shall not be re-employed on the Project.

### **5.3 Consultant Use of Subconsultants**

Subconsultants, including but not limited to individuals, contract employees, sole proprietors, firms, and corporations, designated to perform work under this Agreement are identified in **Exhibit E, List of Subconsultants. Exhibit E, List of Subconsultants**, also contains a description of the service, task responsibilities, and anticipated participation (percentage of overall cost of services) of each Subconsultant identified in **Exhibit E**.

Notwithstanding the fact that the Consultant is utilizing Subconsultants, the Consultant shall remain responsible for performing all aspects of this Agreement and for ensuring that all services, work, and tasks are performed in accordance with the terms and conditions of this Agreement and authorized Task Orders.

LADWP has no obligation to any Subconsultant and nothing herein is intended to create any privity between LADWP and the Consultant's Subconsultant.

### **5.3.1 LADWP Pre-Approval of Subconsultants**

LADWP shall pre-approve, in writing, any reduction, addition or substitution to the Subconsultants listed in **Exhibit E, List of Subconsultants**, in accordance with **Exhibit B, Special Provisions, Subconsultant Substitution, Reduction, or Addition**, under **SP-5**. This applies to individuals, contract employees, sole proprietors, firms, and corporations.

### **5.3.2 Subconsultant Subcontracting**

Subconsultants may not subcontract or delegate assigned work unless Consultant obtains LADWP's prior written consent.

### **5.3.3 Agreement Provisions Applicable to Subconsultants**

Consultant shall require any subcontract entered into pursuant to this Agreement to be subject to Articles 5.3.2, the provisions of Article VII, Ownership, and Article VIII, Confidentiality and Restrictions on Disclosure of this Agreement.

The Consultant is solely responsible for ensuring that all subcontracts comply with the provisions and the terms of this Agreement, as applicable.

### **5.3.4 Copies of Consultant Subconsultant Contracts**

Upon request, the Consultant shall provide LADWP with copies of Consultant Subconsultant contracts associated with the performance of this Agreement.

## **ARTICLE VI: TASK ORDER DEVELOPMENT AND APPROVAL**

### **6.1 Task Order Request for Proposal (TORP)**

During the term of this Agreement, LADWP shall have the right to request submittal of a Task Order Proposal within the general scope of work contemplated by this Agreement and consistent with **Exhibit C, Fee Schedule**. Task Orders may be based either upon a fixed price or a time and materials basis. For each task requested, LADWP shall prepare and transmit a TORP to any Consultant(s) which will include the following elements:



1. Task order number
2. Task name or title
3. Purpose and Objective of the task assignment
4. Prerequisites to Consultant's performance
5. Scope of Work
6. Premises (assumptions, conditions, restrictions, project location, etc.)
7. References (from past projects for similar work)
8. Key Consultant and Subconsultant personnel required for the task
9. Anticipated SBE/DVBE/MBE/WBE Subconsultant participation
10. Method of compensation (fixed price or time-and-materials basis)
11. Estimated total expenditures, including not-to-exceed cost or mutually established fixed price costs, to be paid to the Consultant to perform the task assignment
12. Detailed cost estimate with work breakdown, personnel or labor category, labor hours, labor rates, and expenditures as basis for the amount in Item 11
13. Applicable Consultant and Subconsultant Labor Rates and Fees, if not included in **Exhibit C, Fee Schedule** of the Agreement
14. Schedule, including expected progress reports and expected completion date
15. LADWP's designated Task Order Authorized Representative(s) as identified in the TORP
16. Deliverables
17. The methodology for evaluation of the successful task order proposal

## 6.2 Task Order Proposal

Upon receipt of LADWP's written TORP, the Consultant, at its own expense, shall prepare and deliver to LADWP a written response within ten (10) calendar days or as otherwise requested by LADWP. The Consultant's written response shall be in the form of a Task Order Proposal.

The Consultant may suggest to LADWP that changes be made to the work and services contemplated in the TORP. As part of the Task Order Proposal, the Consultant shall provide LADWP with a detailed cost estimate proposal, including identification of all required personnel, rates, and hours of effort.

In the event that Consultant personnel, Subconsultants, or expenses not included in **Exhibit E, List of Subconsultants**, or **Exhibit C, Fee Schedule**, are required by the Consultant to complete the task, the Task Order Proposal shall specifically include such additions to the appropriate Agreement Exhibits for approval and authorization by LADWP.

The Consultant shall comply with Article 5.3.1 of this Agreement and provide a summary of overall Subconsultant utilization for the Task Order and the Agreement as whole, and explain any deviations from the anticipated Subconsultant participation identified in **Exhibit E, List of Subconsultants**, and recommendations for recovering any shortfalls in Subconsultant utilization.

### 6.3 Evaluation of Task Order Proposal

LADWP will review and evaluate the Task Order Proposal for completeness, clarity, Consultant's ability to perform the work and services, schedule, and proposed use of Subconsultants and Consultant personnel.

During the review of the Consultant's Task Order Proposal, LADWP and the Consultant shall cooperatively work to develop a Task Order. To that end, informal exchanges between the Consultant and LADWP Task Order administrator or project manager are encouraged to aid in the development of the Task Order.

Any particular Task Order may be performed either on a time-and-materials basis with a not-to-exceed amount established for each Task Order, or upon a lump-sum basis, or a combination thereof. The particular method of compensation for each Task Order shall be determined by the Parties during its development. LADWP and the Consultant shall select the method of compensation that is most compatible with the particular Task Order, provides the least cost to LADWP, and assures the Consultant adequate compensation consistent with this fee schedule in **Exhibit C**.

LADWP and the Consultant agree to make a good faith effort to reach a mutually agreed upon fixed price or time and materials Task Order for services based upon the Consultant labor rates established in **Exhibit C, Fee Schedule**. Failure to agree on the price of such Task Orders shall be treated as a dispute and subject to the provisions of Article XI, Disputes, of this Agreement.

Upon agreement over the final form of a Task Order, the Consultant shall transmit the Task Order, signed by the Consultant's Authorized Representative, to LADWP.

### 6.4 Task Order Approval and Authorization

Upon LADWP's acceptance of an executed Task Order, the LADWP's Authorized Representative(s) as identified in Article 1.2 of this Agreement, or their designee established in writing, shall provide written authorization to the Consultant to commence the work described in the Task Order. A Task Order authorization letter executed by LADWP's Authorized Representative shall be transmitted to the Consultant to document all Task Orders.

The Task Order authorization letter shall describe the full and complete agreement among the Parties regarding the work and services contemplated in the Task Order. LADWP shall not be liable for payment for Consultant services, work, task, deliverables, or costs that are performed outside an authorized Task Order.

## **6.5 Task Order Modifications**

LADWP or Consultant may seek modifications to an authorized Task Order to address needed services, work, tasks, subtasks, deliverables, schedules, or costs associated with the authorized Task Order or to address changed conditions. Such Task Order modifications shall be processed in accordance with the Task Order development procedures established in this Article VI, Task Order Development and Approval.

## **ARTICLE VII: OWNERSHIP**

### **7.1 Ownership Rights**

It is understood and agreed that the deliverables are being developed by the Consultant for the sole and exclusive use of LADWP and that LADWP shall be deemed the sole and exclusive owner of all right, title, and interest therein, including all copyright and proprietary rights relating thereto. All work performed by the Consultant on deliverables and any supporting documentation therefor shall be considered as "Works-Made-for-Hire" (as such are defined under the U.S. Copyright Laws and international treaties) and, as such, shall be owned by and for the benefit of LADWP. LADWP owns any and all trademarks, patents, copyrights, and any other intellectual property rights for any and all deliverables generated as a result of this Agreement, regardless of the state of completion of said deliverables.

In the event it should be determined that any such deliverables or supporting documentation, or parts thereof, do not qualify as a "Works-Made-for-Hire," the Consultant shall and hereby does transfer and assign to LADWP for no additional consideration, all rights, title, and interest that it may possess in such deliverables and documentation including, but not limited to, all copyrights to the work and all rights comprised therein, and all proprietary rights relating thereto. Upon request, the Consultant shall take such steps as are reasonably necessary to enable LADWP to record such assignment. Further, the Consultant shall contractually require all persons performing under this Agreement, including all Subconsultants, to assign to LADWP all rights, title, and interest, including copyrights to all such "Works-Made-for-Hire."

#### **7.1.1 Use of Deliverables**

LADWP has the right to use or not use the deliverables and to use, reproduce, re-use, alter, modify, edit, or change the deliverables as it sees fit and for any purpose. If LADWP determines that a deliverable, or any part thereof, requires correction prior to LADWP approval, LADWP has the

absolute right to use the deliverable until such time as the Consultant can remedy the identified deficiency.

### **7.1.2 Execution of Ownership Documents**

The Consultant shall sign, upon request, any documents needed to confirm that the deliverables or any portion thereof are "Works-Made-for-Hire" and to effectuate the assignment of its rights to LADWP.

## **7.2 Warranty Against Infringement**

The Consultant warrants that the performance of the services by the Consultant or its Subconsultants of any tier, pursuant to this Agreement, shall not in any manner constitute an infringement or other violation of any trademark, copyright, patent and/or trade secret of any third party.

## **7.3 Survival of Provisions**

The provisions of this Article VII, Ownership, shall survive termination and expiration of this Agreement.

# **ARTICLE VIII: CONFIDENTIALITY AND RESTRICTIONS ON DISCLOSURE**

## **8.1 Confidentiality**

All documents, records, and information provided by LADWP to the Consultant, or accessed or reviewed by the Consultant, during performance of this Agreement shall remain the property of LADWP. All documents, records and information provided by LADWP to the Consultant, or accessed or reviewed by the Consultant during performance of this Agreement, are deemed confidential. The Consultant agrees not to provide these documents and records, nor disclose their content or any information contained in them, either orally or in writing, to any other person or entity. The Consultant agrees that all documents, records, or other information used or reviewed in connection with the Consultant's work for LADWP shall be used only for the purpose of carrying out LADWP business and cannot be used for any other purpose. The Consultant shall be responsible for protecting the confidentiality and maintaining the security of LADWP documents, records, and information in its possession. The provisions of this Article VIII, Confidentiality and Restrictions on Disclosure shall survive termination and expiration of this Agreement.

## **8.2 Reference Background Checks**

To the extent permitted by applicable law, LADWP may conduct reference checks on the Consultant, its employees, agents, and Subconsultants who shall

have, or may have, access to LADWP customer, employee, power system, or water system information and data during performance of this Agreement. The Consultant recognizes the highly sensitive nature of such information and data and agrees to cooperate with LADWP and provide, to the extent permitted by applicable law, whatever information LADWP requires in order to conduct reference checks. LADWP may request changes to Consultant personnel pursuant to Article 5.2.1 of this Agreement in response to reference check information, and the Consultant shall accommodate such request for personnel changes.

## **ARTICLE IX: TERMINATION AND SUSPENSION**

### **9.1 Termination for Convenience**

#### **9.1.1 Notice of Termination**

LADWP may terminate this Agreement, or any Task Order, for its convenience upon giving at least thirty (30) calendar days written notice to the Consultant prior to the effective date of such termination, which date shall be specified in such notice.

#### **9.1.2 Receipt of Notice of Termination**

After receipt of a notice of termination and except as otherwise directed by LADWP, the Consultant shall:

- A. Stop work under the Agreement or Task Order on the termination effective date and to the extent specified in the notice of termination.
- B. Place no further orders with Subconsultants for any work except as may be necessary for completion of such portions of the services or work expressly excluded from the Notice of Termination.
- C. Communicate any Notice of Termination to the affected Subconsultants at any tier.
- D. Terminate all orders and contracts with Subconsultants that relate to the performance of the services or work.
- E. Settle outstanding liabilities and claims arising out of such termination of orders and contracts with Subconsultants, with the acceptance of LADWP if required (which acceptance will be for the final purposes of this Article).

- F. Deliver to LADWP, within ten (10) calendar days after termination, any and all data, reports, other documents, and deliverables, or portions thereof, if any, prepared pursuant to this Agreement, but not already delivered.
- G. Transfer title to LADWP (to the extent that title has not already been transferred) in the manner and at the times and to the extent directed by LADWP, the work in process, completed work, and other material produced as part of or required in respect to performance of this Agreement.
- H. Comply with any other requirements of LADWP as may be specified in the Notice of Termination.

### **9.1.3 Amount Due**

The amount due the Consultant by reason of termination for LADWP's convenience shall be determined as follows:

- A. The Consultant shall be paid on the basis of work completed as set forth in authorized Task Orders after LADWP reviews and approves of the work.
- B. The Consultant shall also be compensated by LADWP on a percentage completed basis of the applicable Deliverables for work in process, when appropriate, in compliance with authorized Task Orders after LADWP review and approval of the work.

## **9.2 Termination for Cause**

LADWP may terminate this Agreement for cause by giving the Consultant a written notice of breach. The Consultant shall have ten (10) calendar days from the date of LADWP's notice of breach to cure, or diligently commence to cure such breach. LADWP's notice of breach shall include a time and location for the individuals identified in Article 1.2 of this Agreement to meet and discuss the notice of the breach. Such meeting shall be scheduled within ten (10) calendar days of the date of the notice of breach. If the Consultant is unable or unwilling to cure, or diligently commence to cure, such breach, or meet within the ten (10) day timeframe, LADWP may terminate this Agreement anytime thereafter upon providing the Consultant written notice.

If this Agreement is terminated for cause, the Consultant shall comply with Article 9.1.2, above. LADWP shall pay for LADWP accepted deliverables, less the amount of any damages incurred as a result of the Consultant's failure to perform its responsibilities under this Agreement.

### **9.3 Suspension of Work**

Upon written notice, LADWP may direct the Consultant to suspend, and to subsequently resume performance of all or any of the work. In the event that LADWP suspends work, the authorized Task Order schedule and budget shall be adjusted as appropriate in accordance with the provisions of Article 6.5 of this Agreement.

### **9.4 Termination Transition**

When a replacement Consultant has been identified, the Consultant shall provide reasonable cooperation in the transition of its responsibilities to the replacement Consultant selected by LADWP to perform the tasks described in the scope of work and formerly performed by the Consultant for this Agreement during the fifteen (15) calendar day period prior to termination of the Agreement. The Consultant for this Agreement shall accept no additional tasks with respect to the scope of work after the effective date of the termination.

## **ARTICLE X: AMENDMENTS AND ADMINISTRATIVE CHANGES TO THE AGREEMENT**

### **10.1 Amendments**

#### **10.1.1 Request for Amendment**

During the term of this Agreement, LADWP shall have the right to request an Amendment to change the terms of this Agreement, including changes in the services to be performed by the Consultant, extension of the term, and any increase or decrease in the amount of compensation authorized in Article 4.1 of this Agreement. LADWP shall make a formal written request with respect to the Amendment.

#### **10.1.2 Development of Amendments**

Within ten (10) calendar days following the Consultant's receipt of LADWP's written request for an Amendment, the Consultant, at its own expense, shall prepare and deliver to LADWP a detailed written statement regarding the implementation and impact of the Amendment, including a proposal of the estimated cost impact.

Upon LADWP's review of the Consultant's written response to the request for an Amendment, LADWP and Consultant shall cooperatively work to develop an Amendment to the Agreement. To that end, informal exchanges between the Consultant and LADWP are encouraged.

### **10.1.3 Approval and Authorization of Amendments**

Once both Parties agree to the language of the Amendment, the Amendment shall be signed by the person(s) authorized to bind the Consultant thereto. LADWP shall deliver a copy of the fully executed Amendment to the Consultant. After complete and authorized signatures by both Parties, the Amendment shall be effective and binding on both Parties and shall take precedence over any conflicting provisions in the Agreement.

Amendments that result in an Agreement term of greater than five (5) years in the aggregate may require City Council approval pursuant to Section 373 of the Charter of the City of Los Angeles (hereinafter "City Charter").

## **10.2 Administrative Changes**

### **10.2.1 Request for Administrative Changes**

During the term of this Agreement, LADWP or the Consultant may request changes to the work within the Agreement that are administrative in nature, including but not limited to changes to the authorized representatives, key Consultant or Subconsultant personnel, reporting documentation, and/or the implementation of pre-approved cost of living adjustments in fee schedules. LADWP or the Consultant shall make a formal written request with respect to each administrative change it desires to make.

### **10.2.2 Development of Administrative Changes**

When a change is requested by either Party, the receiving Party will review the implementation and impact of the administrative change. Within ten (10) calendar days following the receipt of the written administrative change request, LADWP or the Consultant, at its own expense, shall prepare and deliver to the originating Party a detailed written statement regarding the implementation and impact (including cost, if any) of the administrative change.

Upon review of the written response to the administrative change request, LADWP and Consultant shall cooperatively work to develop an Administrative Change to the Agreement. To that end, informal exchanges between the Consultant and LADWP are encouraged.

### **10.2.3 Approval and Authorization of Administrative Changes**

Upon approval of the Administrative Change to the Agreement prepared



pursuant to Article 10.2.2, LADWP's authorized representatives as identified in Article 1.2, of this Agreement, or their designee established in writing, shall deliver to the Consultant an Administrative Change authorization to the Agreement (hereinafter " Notice of Administrative Change") for execution.

### **10.3 Order of Precedence**

In the event of any conflict between the terms of this Agreement and the terms of any exhibit, the terms of the exhibit shall control. In the event of any conflict between the following documents, all of which are hereby incorporated by reference into this Agreement, the order of precedence shall be as follows:

- Latest Amendment
- Agreement
- Latest Administrative Change (as set forth in Section 10.2 above)
- Task Order Assignment
- Other reference documents
- Proposal response dated March 31, 2022
- Request for Proposal No. 90641 dated January 28, 2022 and any amendments thereto.

Except as otherwise specified, in the event of any conflict between the Special Provisions and the General Conditions contained herein, the Special Provisions will control.

Each party shall notify the other immediately upon the determination of any such conflict or inconsistency.

Should anything necessary for a clear understanding of the Work be omitted from the Agreement Documents, or should the requirements appear to be in conflict, the Consultant shall secure written instructions from LADWP before furnishing the Work affected thereby.

## **ARTICLE XI: DISPUTES**

### **11.1 Disputes**

#### **11.1.1 Dispute Resolution**

The parties shall use their best efforts to resolve disputes under this Agreement. If a settlement cannot be reached, or in the event of default that could result in termination of this Agreement, LADWP and the Consultant shall schedule a meeting of the individuals identified in Article 1.2 in a good faith attempt to resolve the issues in dispute. Such a Dispute Resolution meeting shall be scheduled and held within ten (10) business days of written request by either party. The meeting shall allow for a

detailed presentation of each Party's views on the issues and potential solutions to the dispute or default. If possible, the meeting should result in an agreed upon course of action to resolve the dispute or default.

#### **11.1.2 Continued Work**

The Consultant and LADWP shall continue to perform work under the Agreement during any dispute.

#### **11.1.3 Claim Procedures**

The provisions of Sections 5.169 and 5.170 (Div. 5, Ch. 10, Art. 1) of the Los Angeles Administrative Code and Section 350 of the City Charter shall govern the procedure and rights of the Parties with regard to claims arising from this Agreement. Nothing herein shall be construed as a waiver of the claim requirements set forth in Government Code 900 *et seq.*

### **ARTICLE XII: ENTIRE AGREEMENT**

#### **12.1 Number of Pages and Attachments**

This Agreement is executed in two (2) duplicate originals, each of which is deemed to be an original. This Agreement includes twenty-four (24) pages and eleven (11) Exhibits, which constitute the complete understanding among the Parties.

#### **12.2 Represented by Counsel**

Each Party acknowledges that it was represented by counsel in the negotiation and execution of this Agreement.

[Signature page follows.]

## SIGNATURE PAGE

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their duly authorized representatives.

DEPARTMENT OF WATER AND POWER  
OF THE CITY OF LOS ANGELES BY  
BOARD OF WATER AND POWER COMMISSIONERS

ARCADIS U.S., INC.

By: \_\_\_\_\_  
MARTIN L. ADAMS  
General Manager and Chief Engineer

By:   
CHRISTINE COTTON  
Senior Vice President

Date: \_\_\_\_\_


Date: 08/08/2022

And: \_\_\_\_\_  
CHANTE L. MITCHELL  
Board Secretary

By:   
MICHAEL MAXWELL  
Vice President

Date: 08/08/2022

APPROVED AS TO FORM AND LEGALITY  
HYDEE FELDSTEIN SOTO, CITY ATTORNEY

MAY 24 2023  
BY   
MARK REUSCH  
DEPUTY CITY ATTORNEY

Vendor Code: 042007062

City Business Tax Registration Certificate Number: 0000143256-0003-0

## **EXHIBIT A**

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### **General Conditions**

#### **GC-1 Construction of Provisions and Titles Herein**

All titles or subtitles appearing herein have been inserted for convenience and shall not be deemed to affect the meaning or construction of any of the terms or provisions hereof. The language of this Agreement shall be construed according to its fair meaning and not strictly against LADWP or the Consultant. The word "Consultant" herein and in any amendment hereto means the Party or Parties identified in this Agreement wherein this Exhibit is incorporated by reference; the singular shall include the plural; if there shall be more than one Consultant herein, unless expressly stated otherwise, their obligations and liabilities hereunder shall be joint and several; use of feminine, masculine, or neutral gender shall be deemed to include the genders not used.

#### **GC-2 Applicable Law, Interpretation, Enforcement and Severability**

Each Party's performance hereunder shall comply with all applicable laws of the United States of America, the State of California, and the City of Los Angeles, including but not limited to laws regarding health and safety, labor employment, wage and hours, workers compensation, and licensing laws which affect employees. Consultant shall comply with new, amended, or revised laws, regulations, and/or procedures that apply to the performance of this Agreement.

This Agreement was made and entered into in the City of Los Angeles and shall be governed by, interpreted and enforced in accordance with the laws of the State of California and the City of Los Angeles, without regard to conflicts of laws principles. All litigation arising out of, or relating to, this Agreement shall be brought in a State or Federal court in the County of Los Angeles in the State of California. The Parties irrevocably agree to submit to the exclusive jurisdiction of such courts in the State of California and waive any defense of *forum non conveniens*.

If any part, term or provision of this Agreement shall be held invalid, void, illegal, unenforceable, or in conflict with any law of a federal, state or local government having jurisdiction over this Agreement, the validity of the remaining parts, terms or provisions shall not be affected or impaired thereby.

The provisions of this article shall survive the expiration or termination of this Agreement.

#### **GC-3 Time of Effectiveness**

Unless otherwise provided, this Agreement shall take effect when all of the following events have occurred:

- A. This Agreement has been signed on behalf of the Consultant by the person(s) authorized to bind the Consultant hereto.

## **EXHIBIT A**

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- B. This Agreement has been approved by the City Council or by the Board, inclusive of City Council review period, officer, or employee authorized to give such approval.
- C. The Office of the City Attorney has indicated in writing its approval of this Agreement as to form and legality.
- D. This Agreement has been signed on behalf of LADWP by the person designated by the Board, officer or employee authorized to enter into this Agreement.

#### **GC-4 Integrated Agreement**

This Agreement sets forth all of the rights and duties of the Parties with respect to the subject matter hereof, and replaces any and all previous agreements and understandings, whether written or verbal, relating hereto. This Agreement may be amended only as provided for in Article X, Amendments and Administrative Changes to the Agreement of this Agreement.

#### **GC-5 Force Majeure**

If either Party is unable to perform its obligations because of strikes, lockouts, labor disputes, embargos, acts of God, governmental regulations, judicial orders, enemy or hostile governmental action beyond the reasonable control of the Consultant or its Authorized Subconsultants ("Force Majeure"), and such event continues, or is expected to continue, for more than thirty (30) days, either Party may suspend unperformed services upon notice to the other party in writing, and such party's performance shall be suspended for the period equal to the period of time of such cause for suspension of performance. Both parties shall use reasonable efforts to mitigate the effect of a force majeure event. This section does not excuse either party's obligation to pay for services provided.

#### **GC-6 Waiver**

A waiver of a default of any part, term, or provision of this Agreement shall not be construed as a waiver of any succeeding default or as a waiver of the part, term or provision itself. A Party's performance after the other Party's default shall not be construed as a waiver of that default.

#### **GC-7 Independent Consultant**

The Consultant is acting hereunder as an independent Consultant and not as an agent or employee of LADWP or the City of Los Angeles, and all of the terms and conditions of this Agreement shall be interpreted in light of that relationship. The Consultant, including Consultant's Subconsultants, suppliers, employees, and agents, shall not represent or otherwise hold out itself or any of its directors, officers, partners,



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### **General Conditions**

employees, or agents to be an agent or employee of LADWP for any purpose whatsoever. The Consultant shall not be entitled to any LADWP or City of Los Angeles benefits, including but not limited to, vacation, sick leave, Workers' Compensation, or pension.

#### **GC-8 Prohibition Against Assignment or Delegation**

The Consultant may not, unless it has first obtained the written permission of LADWP:

- A. Assign or otherwise alienate any of its rights hereunder, including the right to payment; or
- B. Delegate, subcontract, or otherwise transfer any of its duties hereunder.

Such permission may be withheld at LADWP's sole discretion for any reason or no reason at all since the award of this Agreement was based upon the personal services to be provided by the Consultant.

#### **GC-9 Licenses and Certifications**

The Consultant and its officers, agents, and employees shall obtain and maintain all licenses, permits, certifications and other documents necessary for the Consultant's performance hereunder and shall pay any fees required therefore. Such licenses, permits, certifications shall be specific to the State of California or regional regulatory agencies, as applicable to Consultant's services, work, task, and deliverables pursuant to this Agreement. Consultant agrees to immediately notify LADWP of any suspension, termination, lapse, non-renewal, or restriction of such licenses, permits, certifications, or other documents.

#### **GC-10 Non Discrimination/Equal Employment Practices/Affirmative Action**

##### **A. Non Discrimination and Equal Employment Practices**

The Agreement shall comply with the provisions of Los Angeles Administrative Code Section 10.8.2, Non-Discrimination Clause and Section 10.8.3, Equal Employment Practices. By affixing its signatures on the Agreement that is subject to the Equal Employment Practices Provisions, the Consultant shall agree to adhere to the provisions in the Equal Employment Practices Provisions for the duration of the Agreement.

Furthermore, the Consultant shall include similar provisions in all subcontracts awarded for work to be performed under the Agreement with LADWP and shall impose the same obligations. The contract with the Subconsultant that contains similar language shall be made available to LADWP upon request.

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#### **B. Affirmative Action Program**

The Agreement shall comply with the provisions of Los Angeles Administrative Code 10.8.4, Affirmative Action Program. By affixing its signature on the Agreement that is subject to the Affirmative Action Program provisions, the Consultant shall agree to adhere to the provisions in the Affirmative Action Program for the duration of the Agreement.

Furthermore, the Consultant shall include similar provisions in all subcontracts awarded for work to be performed under the Agreement with LADWP and shall impose the same obligations. The contract with the Subconsultant that contains similar language shall be made available to LADWP upon request.

#### **GC-11 Claims for Labor and Materials**

The Consultant shall promptly pay, when due, all amounts payable for labor and materials furnished in the performance of this Agreement so as to prevent any lien or other claim under any provision of law from arising against LADWP or City of Los Angeles or any of their respective property (including reports, documents, and other tangible matter produced by the Consultant hereunder), against the Consultant's rights to payments hereunder, and shall pay all amounts due under the Unemployment Insurance Act with respect to such labor.

#### **GC-12 Los Angeles City Business Tax Registration Certificate Required**

The Consultant represents that it has obtained and presently holds a Business Tax Registration Certificate(s) required by the City of Los Angeles Business Tax Ordinance (Article 1, Chapter II, Article 21.00 and following, of the Los Angeles Municipal Code). For the term covered by this Agreement, the Consultant shall maintain, or obtain as necessary, all such Certificates required under said ordinance and shall not allow any such Certificate to be revoked or suspended.

#### **GC-13 Indemnification Provisions**

##### **A. General Indemnification**

The Consultant undertakes and agrees to indemnify and hold harmless the City of Los Angeles, the Department of Water and Power, the Board of Water and Power Commissioners of the City of Los Angeles, and all of their officers and employees, and, at the option of LADWP, defend LADWP and any and all of its Board, officers, agents, representatives, employees, assigns and successors in interest from and against any and all suits and causes of action, claims, charges, damages, demands, judgments, civil fines and penalties, or losses of any kind or nature whatsoever, for death, bodily

## **EXHIBIT A**

### **General Conditions**

injury or personal injury to any person, including Consultant's employees and agents, or damage or destruction to any property of either party hereto, or third persons in any manner caused by the acts, errors, or omissions incident to the performance of this contract on the part of the Consultant, or the Consultant's officers, agents, employees, or Subconsultants of any tier, except for the sole negligence or willful misconduct of LADWP, its Board, officers, agents, or employees.

#### **B. Intellectual Property Indemnity**

Consultant will indemnify, defend at its expense and hold harmless LADWP against any loss, cost, expense, liability, and damages paid by LADWP as a result of any judgment or settlement as a consequence of any infringement claim, demand, proceeding, suit or action (hereinafter "Action") against LADWP, its officers, directors, agents, employees, or affiliates for any infringement or violation, actual or alleged, direct or contributory, intentional or otherwise, of any intellectual property rights, including patents, copyrights, trade secrets, trademarks, service marks, and other proprietary information or rights (collectively "Intellectual Property Rights" hereinafter), (1) on or in any design, medium, matter, plant, article, process, method, application, equipment, device, instrumentation, software, hardware, or firmware used by the Consultant in the construction of the work under this Agreement; or (2) as a result of LADWP's actual or intended use under the specifications contained herein by LADWP of any product furnished by Consultant (hereinafter "Consultant Product"). Consultant Product herein includes, without limitation, any hardware, software, firmware, equipment, device, instrumentation, design, medium, matter, plant, article, process, method, and application. Consultant, however, shall have no liability to LADWP herein with respect to any claim of infringement which is based upon the combination or utilization of the Consultant Product with machines or devices not reasonably anticipated hereunder; or based upon a modification by LADWP of the Consultant Product furnished hereunder.

In Consultant's defense of LADWP, negotiation, compromise, and settlement of any such infringement action, LADWP shall retain discretion in and control of the litigation, negotiation, compromise, settlement, and appeals therefrom, as required by the City Charter, particularly Article II, Sections 271, 272 and 273 thereof.

In addition, if any part of the Consultant Product (a) becomes the subject of an action, (b) is adjudicated as infringing any Intellectual Property right, or (c) has its use enjoined or license terminated, Consultant shall, with LADWP's consent, do one of the following immediately at its expense: (i) procure for LADWP the right to continue using said infringing part of the product; (ii) replace the product with a functionally equivalent, non-

## **EXHIBIT A**

### **General Conditions**

infringing product; or (iii) modify the product so it becomes non-infringing.

Exercise of any of the above-mentioned options shall not cause undue business interruption to LADWP or diminish the intended benefits and use of the Consultant Product by LADWP under the specifications herein. If Consultant proves, to LADWP's satisfaction, that none of the options (i), (ii), or (iii) are feasible, Consultant shall instead refund the full purchase price of the product.

Rights and remedies available to LADWP hereinabove shall survive the expiration or other termination of this Agreement. Further, the rights and remedies are cumulative of those provided for elsewhere in this Agreement and those allowed under the laws of the United States, the State of California, and the City of Los Angeles.

This Condition shall survive the expiration or other termination of this Agreement.

#### **GC-14 Insurance**

##### **A. General Statement**

Acceptable evidence of required insurance, from insurers acceptable to LADWP, is required to be submitted by the Consultant and must be maintained current by the Consultant throughout the term of this Agreement. Said evidence of insurance must be on file with the Risk Management Section in order to receive payment under any agreement for services rendered, and in order to commence work under this Agreement.

##### **B. Applicable Terms and Conditions**

###### **1. Additional Insured Status Required**

Contractor/Vendor shall procure at its own expense, and keep in effect at all times during the term of this Agreement, the types and amounts of insurance specified on the attached Contract Insurance Requirements page. The insurance shall also by specific endorsement(s) attached to such policies, include, the City of Los Angeles, its Department of Water and Power, its Board of Commissioners (hereinafter referred to as "Board"), and all of its officers, employees and agents, their successors and assigns, as Additional Insureds against the area of risk described herein as respects Contractor's negligent acts, errors, or omissions in its performance of the agreement, hereunder or other related functions performed by or on behalf of Contractor. Such insurance shall not

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limit or qualify the liabilities and obligations of the Contractor assumed under the contract.

**2. Separation of Insured's Interest and Cross Liability Required**

Each specified insurance policy, as applicable, shall contain a Separation of Interest and Cross Liability clause and shall apply separately to each insured against whom a claim is made or suit is brought and a Contractual Liability Endorsement which shall also apply to liability assumed by the insured under this Agreement with the Department.

**3. Primary and Non-Contributory Insurance Required**

All such insurance shall be Primary and Noncontributing with any other insurance held by LADWP where liability arises out of, or results from, the acts, errors, or omissions of Consultant, its agents, employees, officers, assigns, or any person or entity acting for or on behalf of Consultant. Any insurance carried by LADWP which may be applicable shall be deemed to be excess insurance and the Consultant's insurance is primary for all purposes despite any conflicting provision in the Consultant's policies to the contrary.

**4. Proof of Insurance for Renewal or Extension Required**

Contractor shall provide evidence of the required insurance at least ten (10) days after the expiration date of any of the policies required on the attached Contract Requirement page showing that the insurance coverage has been renewed or extended and shall be filed with the Department.

**5. Submission of Acceptable Proof of Insurance and Notice of Cancellation**

Contractor shall provide proof to the Department's Risk Manager of all specified insurance and related requirements using either an Acor certificate of insurance along with any required specific endorsements, or using the Department's own endorsement form(s) or using other written evidence of insurance acceptable to the Risk Manager, but always in a form acceptable to the Risk Manager. The documents evidencing all specified coverages shall be filed with the Department prior to Contractor beginning operations hereunder. Said proof shall contain at a minimum, the applicable policy number, the inclusive dates of policy coverages, the date the

## **EXHIBIT A**

### **General Conditions**

specified endorsement for the Department of Water and Power was attached to a specific policy, and the insurance carrier's name. It shall provide that such insurance shall not be subject to cancellation, material reduction in a required coverage or non-renewal (other than for non-payment) except after written notice by first class mail or electronic mail to the LADWP Risk Management Section (30) calendar days prior to the effective date thereof. The notification shall be sent by first class or electronic mail to:

The Risk Management Section  
Los Angeles Department of Water and Power  
Post Office Box 51111, JFB Room 465  
Los Angeles, California 90051-0100  
Riskmanagement.Risky@ladwp.com

6.     **Claims-Made Insurance Conditions**

Should any portion of the required insurance be on a "Claims Made" policy, the Contractor, following completion of work and at the policy expiration date, shall provide evidence that the "Claims Made" policy has been renewed or replaced with a retroactive effective date to the policy in place at the inception of the contract and with the same limits, terms and conditions of the expiring policy.

7.     **Failure to Maintain and Provide as Cause for Termination**

After prior notices have been provided, any failure by the contractor to maintain and provide acceptable evidence of the required insurance for the required period of coverage shall constitute a breach of contract, upon which the Department may immediately terminate or suspend the agreement.

8.     **Sub-Contractor Compliance**

The Contractor shall be responsible for all sub-contractors. The vendor shall require all subcontractors performing any work to maintain insurance limits in accordance with Vendor's standard agreements with such subcontractors.

9.     **Specific Insurance Requirements**

Contractor/consultant shall provide evidence of insurance as required in **Exhibit G, Contract Insurance Requirements-LADWP.**

## **EXHIBIT A**

### **General Conditions**

#### **GC-15 Child Support Policy**

The Consultant and any Subconsultant(s) must fully comply with all applicable State and Federal employment reporting requirements for the Consultant's and any Subconsultant(s)' employees. The Consultant and any Subconsultant(s) must fully comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment in accordance with the California Family Code. The Consultant and any Subconsultant(s) must certify that the principal owner(s) thereof (any person who owns an interest of 10 percent or more) are in compliance with any Wage and Earnings Assignment Orders or Notices of Assignment applicable to them personally. The Consultant and any Subconsultant(s) must certify that such compliance will be maintained throughout the term of this Agreement.

Failure of the Consultant and/or any Subconsultant(s) to fully comply with all applicable reporting requirements or to implement lawfully served Wage and Earnings Assignments or Notices of Assignment or failure of the principal owner(s) to comply with any Wage and Earnings Assignments or Notices of Assignment applicable to them personally shall constitute a default under this Agreement. Failure of the Consultant and/or any Subconsultant(s) or principal owner(s) thereof to cure the default within ninety (90) calendar days of notice of such default by LADWP shall subject this Agreement to termination.

The Consultant will contractually require all Subconsultants performing services under this Agreement to comply with the provisions of this section.

#### **GC-16 Service Contract Worker Retention Ordinance and Living Wage Policy**

Unless otherwise exempt in accordance with the provisions of this Ordinance, this contract is subject to the applicable provisions of the Service Contractor Worker Retention Ordinance (SCWRO), Section 10.36 et seq., and the Living Wage Ordinance (LWO), Section 10.37 et seq. of the Los Angeles Administrative Code. The ordinance require that unless a specific exemption applies as determined by the awarding authority and confirmed by the designated administrative agency, all employers (as defined) under contracts primarily for the furnishing of services to or for the City and that involve an expenditure or receipt in excess of \$25,000 and a contract term of at least 3 months; lessees; licensees; or certain recipients of City financial assistance, generally shall provide the following:

- A. Retention by a successor Consultant for a 90 day transition period, the employees who have been employed for the preceding 12 months or more by the terminated Consultant or Subconsultant, earning no more than twice the hourly wage without health benefits available under the LWO Section 10.37 et seq. of the Los Angeles Administrative Code;

## **EXHIBIT A**

### **General Conditions**

- B. Payment of a minimum initial wage rate to employees as defined in the LWO, as may be adjusted each July 1, and provisions of benefits as defined in the LWO.
- C. Consultant further pledges that the Consultant shall comply with federal law proscribing retaliation for union organizing and shall not retaliate for activities related to the LWO. Consultant shall require each of its Subconsultants within the meaning of the LWO to pledge to comply with the terms of federal law proscribing retaliation for union organizing. Consultant shall deliver the executed pledges from each such Subconsultant to the City within 90 days of the execution of the Subconsultant. Consultant's delivery of executed pledges from each such Subconsultant shall fully discharge Consultant's obligation with respect to such pledges and fully discharge the obligation of the Consultant and Subconsultants to comply with the provision in the LWO contained in Section 10.37.6(c) concerning compliance with such federal law.
- D. The Consultant, whether an employer, as defined in the LWO, or any other person employing individuals, shall not discharge, reduce in compensation, or otherwise discriminate against any employee for complaining to the City with regard to the employer's compliance or anticipated compliance with the LWO, for opposing any practice proscribed by the LWO, for participating in proceedings related to the LWO, for seeking to enforce the employee's rights under the LWO by any lawful means, or otherwise asserting rights under the LWO by any lawful means, or otherwise asserting rights under the LWO. The Consultant shall post the LWO Notice to Employees and the Notice of Prohibition Against Retaliation, in a conspicuous place.
- E. Any Subcontract entered into by the Consultant relating to this Agreement, to the extent allowed hereunder, shall be subject to these provisions and shall incorporate the provisions of the LWO and the SCWRO.
- F. Consultant shall comply with all rules, regulations and policies promulgated by the designated administrative agency, which may be amended from time to time.

Under the provisions of Section 10.36.3(c) and Section 10.37.6(c) of the Los Angeles Administrative Code, the City shall have the authority, under appropriate circumstances, to terminate this contract and otherwise pursue legal remedies that may be available if the City determines that the subject Consultant has violated provisions of the LWO and the SCWRO or both.

Where under the LWO Section 10.37.6(e), the designated administrative agency has determined (a) that the Consultant is in violation of the LWO in having failed to pay some or all of the living wage, and (b) that such violation has gone uncured, the



## **EXHIBIT A**

### **General Conditions**

awarding authority in such circumstances may impound monies otherwise due the Consultant in accordance with the following procedures. Impoundment shall mean that from monies due the Consultant the awarding authority may deduct the amount determined to be due and owing by the Consultant to its employees. Such monies shall be placed in the holding account referred to in LWO Section 10.37.6(d)(3) and disposed of under procedures there described through final and binding arbitration. Whether the Consultant is to continue work following an impoundment shall remain in the unfettered discretion of the awarding authority. The Consultant may not elect to discontinue work either because there has been an impoundment or because of the ultimate disposition of the impoundment by the arbitrator.

#### **Earned Income Tax Credit**

This contract is subject to the provisions of Section 10.37.4(b) of the Los Angeles Administrative Code, requiring employers to inform employees of their possible right to the federal Earned Income Tax Credit (EITC). Employers must further make available to employees the forms required to secure advance EITC payments from employers.

#### **GC-17 Americans with Disabilities Act**

The Consultant hereby certifies that it will comply with the Americans with Disabilities Act 42, U.S.C. Section 12101 et seq., and its implementing regulations. The Consultant will provide reasonable accommodations to allow qualified individuals with disabilities to have access to and to participate in its programs, services and activities in accordance with the provisions of the Americans with Disabilities Act. The Consultant will not discriminate against persons with disabilities or against persons due to their relationship or association with a person with a disability. Any subcontract entered into by the Consultant, relating to this Agreement, to the extent allowed hereunder, shall be subject to the provisions of this paragraph.

#### **GC-18 Retention of Records, Audit, and Reports**

Consultant shall maintain, and shall cause Consultant's Subconsultants and suppliers to maintain records pertaining to the performance of services pursuant to this Agreement, in their original form, including but not limited to, reports, documents, deliverables, employee time sheets, accounting procedures and practices, records of financial transactions, and other evidence, regardless of form (e.g., machine readable media such as disk, tape, etc.) or type (e.g., databases, applications software, database management software, utilities, etc.), sufficient to properly reflect all fees claimed to have been incurred and services performed pursuant to this Agreement ("financial records").

All financial records shall be retained, and shall be subject to examination and audit by LADWP personnel or by LADWP's agents (herein after "Authorized Auditors"), for a period of not less than four (4) years following final payment made by LADWP

## **EXHIBIT A**

### **General Conditions**

hereunder or the expiration date of this Agreement, whichever is later.

Upon thirty days' written notice to Consultant, LADWP shall have the right to audit the financial records of Consultant and its Subconsultants, upon reasonable written notice to Consultant, no more than one time per year, at LADWP's cost. The Authorized Auditors shall make good faith efforts not to unreasonably interfere with Consultant's normal business operations. LADWP shall have the right to contract a third-party audit firm to conduct such an audit, provided that such third-party audit firm agrees to Consultant's standard nondisclosure terms and to utilize standard audit software and methodologies. Any information provided by Consultant and its Subconsultants on machine readable media (e.g. Access, Excel or ACL) shall be provided in a format accessible and readable by the Authorized Auditors. Consultant and Subconsultant shall not, however, be required to furnish the Authorized Auditors with commonly available software.

Examinations and audits will be performed using generally accepted auditing practices and principles and applicable City, State and Federal government audit standards. For Consultants that utilize or are subject to FAR, Part 30 and 31, et seq. accounting procedures, or a portion thereof, examinations and audits will utilize such information.

To the extent that the Authorized Auditor's examination or audit reveals inaccurate, incomplete or non-current records, or records are unavailable, the records shall be considered defective, and consistent with standard auditing procedures the Consultant will be provided thirty (30) calendar days to review the Authorized Auditor's examination results or audit and respond to LADWP prior to the examination's or audit's finalization.

If the Authorized Auditor's examination or audit indicates an error in billing resulting in either an underpayment or overpayment under a previous payment application, the identified discrepancy shall be reviewed. The Parties will discuss the best manner in which to correct the error within fifteen (15) calendar days of Authorized Auditor's notice to the Parties of the billing error. The Party in error will pay the other Party the amount of the discrepancy within fifteen (15) calendar days thereafter.

The Consultant shall contractually require all Subconsultants performing services under this Agreement to comply with the provisions of this section by inserting this provision GC-18 in each Subconsultant contract and by contractually requiring each Subconsultant to insert this provision GC-18 in any of its Subconsultant contracts related to services under this Agreement. In addition, Consultant and Subconsultants shall also include the following language in each Subconsultant contract:

“LADWP is a third party beneficiary of the foregoing audit provision. The benefits of the audit provision shall inure solely for the benefit of LADWP. The designation of LADWP as a third party beneficiary of the audit provision shall not confer any rights or privileges on the Consultant, Subconsultant or any other person/entity.”

If an examination or audit undertaken pursuant to the Retention of Records, Audit, and

## **EXHIBIT A**

### **General Conditions**

Reports provision of the General Conditions GC-18 for LADWP Professional Service Contracts reveals that LADWP overpayment to the Consultant is more than 5% of the billings reviewed, the Consultant shall pay all expenses and costs incurred by the Authorized Auditors arising out of or related to the examination or audit. Such examination or audit expenses and costs shall be paid by the Consultant to LADWP within fifteen (15) calendar days of notice to the Consultant of the costs and expenses.

The provisions of this section shall survive expiration or termination of this Agreement.

#### **GC-19 LADWP's Recycling Policy**

The Consultant shall submit all written documents on paper with a minimum of thirty (30) percent post-consumer recycled content. Existing company/corporate letterhead/stationery that accompanies these documents is exempt from this requirement. Documents of two or more pages in length shall be duplex-copied (double-sided pages). Neon or fluorescent paper shall not be used in any written documents submitted to LADWP.

#### **GC-20 Taxpayer Identification Number (TIN)**

The Consultant represents that it has obtained and presently has a Tax Identification Number (TIN). For the term covered by this Agreement, the Consultant shall maintain, or obtain as necessary, a TIN. No payment will be made under this Agreement without a valid TIN number.

#### **GC-21 Beneficiaries**

This Agreement is intended only for the benefit of the Parties hereto and does not, nor shall be interpreted, to create any rights in any nonsignatory to this Agreement.

#### **GC-22 Consultant's Successors and Assigns**

All indemnifications and warranties provided by the Consultant pursuant to this Agreement will be assumed by and binding upon the Consultant's successors and assigns. The provisions of this paragraph shall survive expiration or termination of this Agreement.

#### **GC-23 Attorney's Fees and Costs**

Both Parties hereto agree that in any action to enforce the terms of this Agreement, each Party shall be responsible for its own attorneys' fees and costs. The provisions of this paragraph shall survive expiration or termination of this Agreement.

## **EXHIBIT A**

### **General Conditions**

#### **GC-24 Equal Benefits Ordinance**

Unless otherwise exempted in accordance with the provisions of this Ordinance, this Contract is subject to the applicable provisions of the Equal Benefits Ordinance (EBO) Section 10.8.2.1 of the Los Angeles Administrative Code, as amended from time to time.

- A. During the performance of the Contract, the Contractor certifies and represents that the Contractor will comply with the EBO. The Contractor agrees to post the following statement in conspicuous places at its place of business available to employees and applicants for employment:

“During the performance of a Contract with the City of Los Angeles, the CONTRACTOR will provide equal benefits to employees with spouses and its employees with domestic partners. Additional information about the City of Los Angeles’ Equal Benefits Ordinance may be obtained from the Department of Public Works, Bureau of Contract Administration, Office of Contract Compliance at (213) 847-1922.”
- B. The failure of the Contractor to comply with the EBO may be deemed to be a material breach of the Contract by the Awarding Authority.
- C. If the Contractor fails to comply with the EBO, the Awarding Authority may cancel, terminate or suspend the Contract, in whole or in part and all monies due or to become due under the Contract may be retained by the City. The City may also pursue any and all other remedies at law or in equity for any breach.
- D. Failure to comply with the EBO may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40 et seq., Contractor Responsibility Ordinance.
- E. If the Bureau of Contract Administration determines that a Contractor has set up or used its Contracting entity for the purpose of evading the intent of the EBO, the Awarding Authority may terminate the Contract on behalf of the City. Violation of this provision may be used as evidence against the Contractor in actions taken pursuant to the provisions of Los Angeles Administrative Code Section 10.40 et seq., Contractor Responsibility Ordinance.

#### **GC-25 Contractor Responsibility Program**

Unless otherwise exempt in accordance with the provisions of the Ordinance, this

## **EXHIBIT A**

### **General Conditions**

Contract is subject to the provisions of the Contractor Responsibility Ordinance, Section 10.40 et seq., of the Los Angeles Administrative Code, which requires Contractor to update its responses to the responsibility questionnaire within thirty calendar days after any change to the responses previously provided if such change would affect Contractor's fitness and ability to continue performing the contract. In accordance with the provisions of this Ordinance, by signing this Contract, Contractor pledges, under penalty of perjury, to comply with all applicable federal, state and local laws in the performance of this contract, including but not limited to, laws regarding health and safety, labor and employment, wages and hours, and licensing laws which affect employees. Violations of the Contractor Responsibility Ordinance shall constitute a material breach of this Agreement and entitle the LADWP to terminate this Agreement and otherwise pursue legal remedies that may be available.

The Contractor further agrees to:

- A. Notify the awarding authority within thirty calendar days after receiving notification that any government agency has initiated an investigation which may result in a finding that the Contractor is not in compliance with all applicable federal, state and local laws in performance of this contract;
- B. Notify the awarding authority within thirty calendar days of all findings by a government agency or court of competent jurisdiction that the Contractor has violated the provisions of Section 10.40.3(a) of the Ordinance;
- C. Ensure that its subcontractor(s), as defined in the Ordinance, submit a Pledge of Compliance to awarding authorities; and
- D. Ensure that its subcontractor(s), as defined in the Ordinance, comply with the requirements of the Pledge of Compliance and the requirement to notify Awarding Authorities within thirty calendar days after any government agency or court of competent jurisdiction has initiated an investigation or has found that the subcontractor has violated Section 10.40.3(a) of the Ordinance in performance of the subcontract.

#### **GC-26 Bidder Campaign Contribution and Fundraising Restrictions**

In accordance with the City of Los Angeles Charter Section 470(c)(12) and related ordinances, bidders may not make campaign contributions to and or engage in fundraising for certain elected City officials or candidates for elected City office from the time they submit a bid to LADWP until either the contract is awarded or, for successful bidders, 12 months after the contract is executed. The bidder's principals and subcontractors performing \$100,000 or more in work on the contract, as well as the principals of those subcontractors, are also subject to the same limitations on campaign contributions and fundraising.

The Consultant shall comply with the City Ethics Commission's "CEC Form 55" (3

## **EXHIBIT A**

### **General Conditions**

pages) affidavit. The affidavit requires bidders to identify their principals, their subcontractors performing \$100,000 or more in work on the contract, and the principals of those subcontractors. Consultants shall also notify their principals and subcontractors in writing of the restrictions and include the notice in contracts with subcontractors. Consultants who fail to comply with City law may be subject to penalties, termination of contract, and debarment. Additional information regarding these restrictions and requirements may be obtained from the City Ethics Commission at (213) 978-1960 or [ethics.lacity.org](https://ethics.lacity.org).

#### **GC-27    Municipal Lobbying Ordinance**

The City of Los Angeles Municipal Code Section 48.01 et seq. requires certain individuals and entities to register with the City Ethics Commission and requires public disclosure of certain lobbying activities, including money received and spent. Therefore, all bidders for all construction contracts, public leases, or licenses of any value and duration and bidders for goods or service contracts with a value of more than \$25,000 and a term of at least 3 months, shall comply with the City Ethics Commission's "CEC Form 50" (1 page) affidavit. A copy of the City of Los Angeles Municipal Lobbying Ordinance is available for download on the following City Ethics Commission's webpage:  
<https://ethics.lacity.org/wp-content/uploads/Laws-Lobbying-MLO.pdf>.

Additional information regarding the Municipal Lobbying Ordinance may be obtained from the City Ethics Commission at (213) 978-1960 or [ethics.lacity.org](https://ethics.lacity.org).

#### **GC-28    Iran Contracting Act of 2010**

In accordance with California Public Contract Code Sections 2200-2208, all Consultants entering into, or renewing contracts with LADWP for goods and services estimated at one million dollars (\$1,000,000) or more shall complete, sign, and submit the "Iran Contracting Act of 2010 Compliance Affidavit."

#### **GC-29    Contractor Performance Evaluation**

Under Division 10, Chapter 1, Article 13, Section 10.39 of the Los Angeles Administrative Code, LADWP has established a post-award contractor evaluation program that requires performance monitoring and reporting. The LADWP's Contractor Performance Evaluation Program (CPEP) document can be found on the following webpage:  
<https://www.ladwp.com/ladwp/faces/ladwp/partners/p-vendorsandbidders>

LADWP will evaluate and record the Contractor's overall performance to determine whether the Contractor is fulfilling its obligations on the current contract and to assess the Contractor's suitability to perform work for LADWP in the future. Contractors are required to meet critical contract provisions including, but not limited to, timely shipment and delivery of goods, completeness of delivered goods, quality of delivered goods, accuracy of billing, and conformance to the terms and conditions of the contract. If such conditions are breached, consistent with the terms of the contract, the Director of

## **EXHIBIT A**

### **General Conditions**

Supply Chain Services may terminate the contract for poor performance and may also debar the Contractor from doing business with LADWP for a period up to five years in accordance with the LADWP's Contractor Performance Evaluation Program.

#### **GC-30    Errors and Omissions**

Notwithstanding any other provisions of this Agreement, approval by LADWP of any task or deliverable, or any requested design changes by LADWP, or any part thereof, shall not relieve the Consultant of the responsibility to meet all of the requirements as set forth in this Agreement. The Consultant shall have no claim for additional costs due to correction of its errors or omissions in said previously approved deliverables or any other action that may be necessary to comply with this Agreement, including all Exhibits, and authorized Task Orders.

#### **GC-31    Safety**

The Consultant shall arrange facility visits with LADWP who shall advise the Consultant of appropriate safety and security rules. The Consultant shall adhere to the restrictions and instructions of LADWP personnel when visiting any facility.

The Consultant shall do everything reasonable to protect the life, safety, and health of persons at the construction site. The Consultant shall furnish and use safety devices and safeguards and shall adopt and use practices, means, methods, operations, and processes which are reasonably adequate to render safe and healthful employment. The Consultant shall be responsible for ensuring that each of the Consultant's Subconsultants meets the standards of this Article.

#### **GC-32    Non-Interference**

The Consultant's performance of the work under this Agreement shall not interfere unnecessarily with the operation of LADWP or any other City department.

## **END OF GENERAL CONDITIONS**

## **EXHIBIT B**

### **Special Provisions**



**EXHIBIT B**  
**Special Provisions**

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## **EXHIBIT B**

### **Special Provisions**

#### **SP-1      Reserved**

#### **SP-2      Construction/Design Contracts**

The Consultant shall employ value engineering practices and reviews in development of engineering and architectural designs.

#### **SP-3      Drawings**

- A. Drawings produced by the Consultant shall conform to the best standards of the profession. Information shall be organized in a logical, systematic manner with as much information on a single drawing as can be done without impairing clarity and quality.
- B. Drawings shall be positive and right reading. They shall not be folded and shall be sufficiently legible to make 35 mm microfilm negatives which can be read clearly when enlarged 14.5 times. Drawings shall incorporate LADWP's title block, legends and numbering system. When requested by LADWP, drawings shall be made on LADWP-furnished sheets. Final drawings shall be furnished either on Mylar sheets or on vellum sheets accompanied by electronic data suitable for LADWP's automated drafting system.
- C. Drawings shall fully demonstrate the work to be done and the materials required. Dimensions, diagrams, descriptions, cross sections, and details shall demonstrate adequacy of design. Upon request by LADWP engineering calculations which demonstrate the adequacy of the design shall be provided to LADWP.
- D. Drawings shall be composed using an automated drafting system in a manner which shall facilitate revisions on LADWP's system. This includes layering of information and the attachment of data files to the drawings as appropriate and where requested. Drawings shall identify field conditions against as-built drawings.
- E. Drawings, specifications, and reports shall be signed and sealed by professional engineers and architects with appropriate legal registration.

#### **SP-4      Warranty and Responsibility of Consultant**

Consultant warrants that the work performed hereunder shall be completed in a manner consistent with professional standards practiced among those firms within the Consultants profession, doing the same or similar work under the same or similar circumstances.

## **EXHIBIT B**

### **Special Provisions**

#### **SP-5      Small Business Enterprise (SBE) / Disabled Veteran Business Enterprise (DVBE) Participation Program**

It is the policy of LADWP to provide SBEs, DVBEs, Emerging Business Enterprises (EBEs), Women-Owned Business Enterprises (WBEs), Minority-Owned Business Enterprises (MBEs), Disadvantaged Business Enterprises (DBEs), Lesbian, Gay, Bisexual, or Transgender Business Enterprises (LGBTBEs), and Other Business Enterprises (OBEs) an equal opportunity to participate in the performance of all LADWP contracts.

The Contractor shall ensure that all Small Business Enterprise (SBE) and Disabled Veteran Business Enterprise (DVBE) requirements specified in the Contract have been met, and acknowledges an overall SBE/DVBE commitment of 12% to be met over the term of contract.

Achievement of the overall SBE/DVBE participation commitment requirement will be tracked as an aggregate of all task orders awarded to the Bidder. Participation shall be measured by SBE/DVBE work completion and compensation. Bidders are expected to meet the overall SBE/DVBE participation commitment once all task orders have been issued.

During the term of the Agreement, the Consultant shall utilize each listed Subconsultant in Exhibit E and track the amounts paid to each listed Subconsultant. Exhibit E, List of Subconsultants is attached hereto and is made part of the Agreement.

**Post-Award Documentation:** The Consultant or Contractor shall complete and submit to LADWP, a form titled "Monthly Subcontractor Utilization Report" with its invoice listing all Subcontractors utilized during the reporting period including SBEs and DVBEs. The form titled "Monthly Subcontractor Utilization Report" will be provided by the Contract Administrator.

The Contractor shall cooperate with LADWP personnel in providing such information as requested in order to ensure compliance.

LADWP will not process or pay the Contractor's subsequent invoices if Subcontractor Utilization Reports are not submitted in a timely manner or if the Consultant fails to cooperate with LADWP personnel by promptly providing any and all information related to Subcontractor participation as requested by LADWP.

As part of its "final invoice" the Contractor shall submit an overall project Subcontractor utilization report indicating that the overall committed subcontracting commitments were achieved. Final payment will not be processed without the overall project Subcontractor Utilization Report.

**Subcontractor Substitution, Reduction, or Addition:** The Consultant shall notify LADWP Contract Administrator in writing of any proposal to add, reduce, or substitute a

## **EXHIBIT B**

### **Special Provisions**

Subconsultant in place of a Subconsultant listed in the Consultant's Qualification/Proposal. Prior to such change, the Consultant shall secure the acceptance of LADWP. The Consultant shall submit the following information in a form similar to that contained in the Consultant's original Qualification/Proposal.

- A. Name of Subconsultant
- B. Location and Phone Number of Place of Business
- C. Contact Person
- D. Subconsultant's License(s) number and expiration date (if applicable)
- E. Current Certification Status (if applicable)
- F. The portion of the services that will be performed by each Subconsultant
- G. Reason for the change

LADWP will promptly initiate a review of the information submitted on each Subconsultant and transmit written notification to the Consultant concerning its decision. LADWP shall not be responsible for delays incurred by the Consultant because of a timely disapproval by LADWP of a Subconsultant proposed by the Consultant, or for the late submittal for acceptance of a Subconsultant to LADWP, or because of a Subconsultant's removal from the performance of the Work.

**Falsification of Subcontractor Agreement:** Falsification or misrepresentation as to company name, contract amount, or actual work to be done by the Subcontractor will result in sanctions as set forth in applicable local, state, and federal laws.

**Penalties:** A Consultant violating any provision(s) of this program shall, subject to prior notice of the alleged violation(s), and an opportunity to be heard and to present evidence in its own defense, be deemed in violation of the Contract, and LADWP may:

- A. Terminate the contract; **or**
- B. Assess the Consultant a penalty of not more than 10% of the amount of the Subcontract(s) involved.

**SP-6      Reserved**

**SP-7      Reserved**

**SP-8      Materials Furnished by the Consultant**

All materials and work shall comply with these Agreement Documents. All materials and

## **EXHIBIT B**

### **Special Provisions**

equipment furnished shall be new and unused, but this requirement shall not preclude the use of recycled materials in the manufacturing processes. All work shall be done by qualified workers in a thorough and workmanlike manner. Materials or quality of work not definitely specified, but incidental to and necessary for the work, shall conform to the best commercial practice for the type of work in question. Equipment or materials furnished by the Consultant which are not in accordance with the Agreement Documents shall be removed and replaced at the Consultant's expense.

**SP-9      Reserved**

**SP-10     Reserved**

**SP-11     Reserved**

**SP-12     Indemnification for Design Professionals Relating to Construction  
Contracts Relative to California Civil Code Section 2782**

Except for the sole negligence or willful misconduct of LADWP, the Consultant undertakes and agrees to defend, indemnify and hold harmless LADWP, the City of Los Angeles, including but not limited to any of its boards, commissioners, officers, agents, employees, assigns and successors in interest (hereinafter, collectively, "Indemnitees") from and against any and all suits and causes of action, claims, losses, demands, penalties, judgments, costs, expenses and disbursements of any kind or nature whatsoever, including but not limited to attorney's fees (including allocated costs of internal counsel) and costs of litigation, damage, obligation or liability of any kind or nature whatsoever, in any manner arising by reason of, incident to, or connected in any manner to negligence, recklessness or willful misconduct of the Consultant, or negligent non-performance, or negligent breach of this Agreement, including but not limited to any such negligent act, error or omission or recklessness, or willful misconduct by or of the Consultant or Consultant's officers, employees, agents or Subconsultants of any tier, that results in death or injury to any person, or damage or destruction to property of any kind, or loss of use (hereinafter, collectively, "Indemnified Liabilities"). The provisions of this paragraph shall survive expiration or termination of this Agreement, and shall be in addition to, and not exclusive of, any other rights or remedies which Indemnitees have at law, in equity, under this Agreement or otherwise. To the extent that the undertakings to defend, indemnify, pay and hold harmless set forth in this subsection may be unenforceable in whole or in part, Consultant shall contribute the maximum portion that it is permitted to pay and satisfy under applicable law to the payment and satisfaction of all Indemnified Liabilities incurred by Indemnitees or any of them.

**SP-13     Conflicts of Interest**

Consultant will not accept any other contract during the term of the Agreement from any other party if such other contract could represent, or could lead to a conflict of interest between LADWP, Consultant, or the other party.

## **EXHIBIT B**

### **Special Provisions**

Any subcontract entered into pursuant to the terms of this Agreement shall be subject to, and shall incorporate, the provisions of this Article.

#### **SP-14     Reserved**

#### **SP-15     Background Check Certification Requirement**

The Consultant shall (1) perform the required background checks of all designated principals, employees and/or Subconsultants of the Consultant; and (2) not assign principals, employees and/or Subconsultants of the Consultant convicted of any felony or offense of moral turpitude, or for whom other derogatory information has been found pursuant to criteria set forth in this Agreement or any attachment hereto (including, without limitation, Background Check Certification) shall result in irreparable harm to LADWP and, at LADWP's option, the immediate termination for breach of contract without opportunity to cure, without liability on the part of LADWP.

Consultant agrees to submit a statement along with any invoices or billing associated with this Agreement which certifies that all work performed under this Agreement at critical facilities as designated by LADWP to Consultant was conducted by persons for whom background checks have been conducted and who do not have disqualifying background information in their histories pursuant to this section.

Consultant is advised that submission of a false claim for payment to LADWP may subject Consultant to liability under the California False Claims Act (Cal. Gov't Code Sec. 12650 *et seq.*). In addition, any failure to comply with the background procedures as required by this section may be considered in connection with future contracting opportunities with LADWP. The following specific language in the invoices/bills is acceptable: "Consultant certifies that all work performed for which this invoice/bill is submitted which required access to critical facilities as designated by LADWP was performed by persons for whom background checks have been conducted, and for whom no disqualifying information (including felonies, offenses of moral turpitude, and other disqualifying criteria, if any, as specified in the Agreement between Consultant and LADWP) has been found."

None of the remedies available to LADWP under this section shall preclude LADWP from any other remedies available in law or equity to compensate it for damages caused by the Consultant's failure to comply with this section.

#### **SP-16     Confidentiality**

All documents, records, and information provided by LADWP to the Consultant, or accessed or reviewed by the Consultant, during performance of this Agreement shall remain the property of LADWP. All documents, records and information provided by LADWP to the Consultant, or accessed or reviewed by the Consultant during performance of this Agreement, are deemed confidential. The Consultant agrees not to provide these documents and records, nor disclose their content or any information

## **EXHIBIT B**

### **Special Provisions**

contained in them, either orally or in writing, to any other person or entity. The Consultant agrees that all documents, records, or other information used or reviewed in connection with the Consultant's work for LADWP shall be used only for the purpose of carrying out LADWP business and cannot be used for any other purpose. The Consultant shall be responsible for protecting the confidentiality and maintaining the security of LADWP documents, records, and information in its possession.

#### **Document Access/Control**

- A. The Consultant shall make the confidential information provided by LADWP to the Consultant, or accessed or reviewed by the Consultant during performance of this Agreement, available to its employees, agents and /or Subconsultants, only on a need-to-know basis. Further, the Consultant shall provide written instructions to all of its employees, agents and Subconsultants with access to the confidential information about the penalties for its unauthorized use or disclosure.
- B. The Consultant shall store and process confidential information in an electronic format in such a way that unauthorized persons cannot retrieve the information by computer, remote terminal or other means.
- C. The Consultant shall not remove documents, records, or information used or reviewed in connection with the Consultant's work for LADWP from LADWP facilities without prior approval from LADWP. The Consultant shall not use, other than in direct performance of work required pursuant to the Agreement, or make notes of any home address or home telephone numbers contained in personnel or customer files, confidential information, documents, or records provided by LADWP that are reviewed during work on this Agreement.
- D. With prior written approval from LADWP, the Consultant may make copies of such documents, written materials, notes, documents, confidential information, or other information, as necessary to perform its duties under this Agreement.
- E. The Consultant shall document and immediately report to LADWP any unauthorized use or disclosure of confidential information as defined in Article 8.1.
- F. The Consultant shall require that all its employees, agents, and Subconsultants who shall, or may, review, be provided, or have access to LADWP data, information, personnel or customer files, confidential information, documents, or records during the performance of this Agreement, execute a confidentiality agreement that incorporates the provisions of this Article VIII, Confidentiality and Restrictions on Disclosure prior to performing work under this Agreement.

## **EXHIBIT B**

### **Special Provisions**

The provisions of this Article VIII, Confidentiality and Restrictions on Disclosure shall survive termination and expiration of this Agreement.

#### **SP-17 Security Policy and Requirements**

The Consultant is required to adhere to all physical and cyber-related security policies, standards, requirements and procedures (collectively, "Security Requirements"), applicable to the project. All Consultant personnel, equipment, products, services, and Subconsultants involved with the work must adhere to all applicable Security Requirements throughout the duration of the Agreement or any extensions or amendments thereof. Security Requirements may be imposed by LADWP policy, or by Federal, State or Local laws, regulations or industry practices, including, without limitation, requirements that may be imposed by the Federal Energy Regulatory Commission (FERC), the North American Electric Reliability Corporation (NERC), the Western Electricity Coordinating Council (WECC) the Department of Energy (DOE), the Environmental Protection Agency (EPA), and the Department of Homeland Security (DHS).

Inability to meet the Security Requirements may be considered when evaluating the Consultant for consideration and award, including extensions or amendments to existing agreements. As security regulations and practices change over time, amendments and extensions to existing agreements may include additional Security Requirements not present in earlier agreements.

#### **SP-18 RESERVED**

#### **SP-19 Safety Compliance Certificate**

The Consultant(s) shall comply with the following safety compliance requirements:

- A. Consultant shall execute and comply with the form titled, "Safety Compliance Certificate" in Exhibit K of this Agreement;
- B. Consultant shall have a COVID-19 Transmission Control that is in writing and incorporates all applicable requirements and guidelines provided by:
  - 1. U.S. Centers for Disease Control and Prevention (CDC)
  - 2. California Division of Occupational Safety and Health (Cal-OSHA)
  - 3. California Department of Public Health (CaDPH)
  - 4. Los Angeles County Department of Public Health;
- C. Consultant shall furnish its employees and require all subcontractors to furnish their employees all necessary safety equipment, including, but not limited to, personal protective equipment, safety devices, and safeguards.



## **EXHIBIT B**

### **Special Provisions**

LADWP reserves the right to review safety programs and practices and to make recommendations to the Consultant. Any such review or recommendation by LADWP shall not increase LADWP's liability or responsibility and shall not relieve the Consultant from providing a safe work environment and complying with legal requirements.

If LADWP determines that there is a material deviation from any regulatory agency's requirements or the Consultant's own IIPP that could contribute to serious injury, LADWP may order Consultant to stop work. Failure by the Consultant to comply with any regulatory agency's requirements or the Consultant's own IIPP may result in termination of the Agreement.

#### **SP-20     Data Security**

The Contractor shall implement appropriate measures designed to ensure the confidentiality and security of Protected Information (private and confidential), protect against any anticipated hazards or threats to the integrity or security of such information, protect against unauthorized access or disclosure of information, and prevent any other action that could result in substantial harm to the LADWP or an individual identified with the data or information in the Contractor's custody.

#### **SP-21     Prompt Payment to Subcontractors**

##### **A. Progress Payment**

Contractor agrees to pay each subcontractor under this Agreement, and require the same of its subcontractors, not later than 7 days after receipt of each progress payment, the respective amounts allowed the Contractor on account of the work performed by the subcontractors, to the extent of each subcontractors interest therein pursuant to California Business and Professions Code Section 7108.5.

##### **B. Retention/Final Payment**

Within 7 days from the time that all or any portion of the retention proceeds are received by Contractor, Contractor shall pay each of its subcontractors from whom retention has been withheld, each subcontractor's share of the retention received, and require the same of its subcontractors, pursuant to the terms of California Public Code 7107.

### **END OF SPECIAL PROVISIONS**

## EXHIBIT C Fee Schedule

RFP No.:	90641 - Owner's Agent for Fairmont Sedimentation Plant	
Firm Name:	Arcadis U.S., Inc.	
Firm Address:	445 South Figueroa Street, Suite 3650, Los Angeles, CA 90071	
Direct Labor		
Name	Title	Hourly Rate
Christine Cotton, Mike Maxwell, Peter Tymkiw, Jack Kane	Principal	\$320.00
Brian Bubela, Hector Arias, Jeff Sokol, Raluca Constantinescu, Matt Militello, Harmik Aghanian	Senior Associate - Project Manager	\$295.00
Dan Ryan, Brian Newhouse, Corey Zorn	Lead Project Professional – Project Manager	\$280.00
Greg Imamura, Jena Prior, Heather Smith, Shawn Roberts	Senior Project Professional – Engineer	\$220.00
	Principal Designer	\$190.00
Priscilla Sandoval	Project Engineer	\$170.00
Anna Stehouwer	Lead Engineer	\$195.00
Sean Bristol, Jason Smith	Project Professional	\$180.00
	Assitant Engineer	\$160.00
	Designer	\$145.00
	Staff Engineer	\$135.00
	Project Administrator	\$120.00
Beyaz & Patel		
Name	Title	Hourly Rate
Subhash Patel	Principal Engineer	\$251.00
	Structural Engineer	\$170.00
Brown and Caldwell		
Name	Title	Hourly Rate
Adam Zacheis, David May	Senior Project Manager & QA/QC Lead	\$299.00
	Senior Project Professional Engineer	\$265.00
	Lead Project Professional Engineer	\$240.00
Barry Williams	Project Professional Engineer	\$210.00
	Senior Professional Engineer	\$185.00
	Professional Engineer	\$160.00
	Staff Engineer	\$140.00
	Senior CAD	\$175.00
	CAD/Document Processing	\$125.00
Diaz-Yourman & Associates		
Name	Title	Hourly Rate
Clint Isa	Geotechnical Principal	\$297.00
	Geotechnical Manager	\$206.00

## EXHIBIT C Fee Schedule

RFP No.:	90641 - Owner's Agent for Fairmont Sedimentation Plant	
Firm Name:	Arcadis U.S., Inc.	
Firm Address:	445 South Figueroa Street, Suite 3650, Los Angeles, CA 90071	
Hilts Consulting Group		
Name	Title	Hourly Rate
Douglas Hilts	President	\$210.00
Paul Hansen Engineering, LLC		
Name	Title	Hourly Rate
Paul Hansen	Principal	\$187.00
	Senior Associate - Project Manager	\$175.00
	Senior Scheduler	\$165.00
Kenneth Becker	Senior Cost Estimator	\$165.00
	Contract Coordinator	\$119.00
Schnabel Engineering West, Inc.		
Name	Title	Hourly Rate
Gregory Daviero	Sr. Vice President - Hydraulic Design	\$290.00
Matthew Goff	Vice President - Risk Management	\$222.00
	Associate Engineer - Risk Management	\$222.00
	Associate Engineer - Hydraulic Design	\$222.00
Water Quality & Treatment Solutions, Inc.		
Name	Title	Hourly Rate
Issam Najm	President	\$285.00
	Principal Scientist	\$225.00
	Senior Scientist	\$200.00
	Engineer	\$160.00
	Associate Scientist	\$140.00
Other Direct Costs		
Item Description and Purpose	Billing Unit	Amount

The Department will reimburse reasonable and necessary subconsultant costs at the actual amount paid by the Consultant to the subconsultant, consistent with the subconsultant rates established in this Appendix.

The Department will not pay mark-up on subconsultant services, costs, or expenses. The Consultant shall include its standard fee schedule with the proposal.

## **EXHIBIT D**

### **Allowable Travel Expenses**

[NOTE: These rates are as of January 1, 2022]

Expenses for transportation, lodging, subsistence and related items incurred by consultants employed by the Los Angeles Department of Water and Power will be subject to the "50-mile" rule. Under this rule, travel reimbursements will be made only if the destination is farther than 50 miles from the Consultant personnel's field or office location to the LADWP work site. Any such travel shall be pre-approved by LADWP and shall be in accordance with the guidelines of this Exhibit D.

All expenditures over \$25 require documentation in the form of an original detailed receipt with the exception of per diem meals. However, receipts for expenditures under \$25 may be requested by the City Controller. No additional mark-up on consultant or subconsultant expenses will be allowed.

LADWP will reimburse the Consultant for expenses that include, but not limited to:

#### **1.0 Airfare:**

Airfare is limited to coach class only. An itinerary is not acceptable in and of itself. Original receipts for airfare must be submitted. Purchase of a refundable ticket, which is usually more expensive than a non-refundable ticket, must be approved by the LADWP Contract Administrator identified in the Agreement.

#### **2.0 Car Rental:**

Car rental is limited to mid-size or smaller car. The expense of navigation and insurance will not be reimbursed. Original receipts for car rental and fuel costs must be submitted. Travelers shall fill the gas tank before returning car to avoid fuel surcharges that are not reimbursable.

#### **3.0 Per Diem Allowances – Meals:**

- 3.1 A meal allowance rate is established for domestic travel and will include incidental expenses.
- 3.2 The meal allowance rate for domestic travel is \$74 per day for a full day of travel, which excludes taxes and reasonable and customary gratuities not to exceed 15 per cent. On the first and last day of travel flight itinerary will be required if the full meal rate is requested.
- 3.3 Meal and incidental allowance will be prorated at 75% (\$55.50) of the daily allowance as follows on the first and last day of travel depending on departure/arrival time:
  - 3.3.1 The partial meal rate will be paid on the first day of travel for departure times after 2 p.m.

**EXHIBIT D**  
**Allowable Travel Expenses**

3.3.2 The partial meal rate will be paid on the last day of travel for arrival times prior to 2 p.m.

3.3.3 When some meals are provided as part of the conference/event.

*(NOTE: No meal allowance will be provided when all meals are provided throughout the day by the host or as part of an event/conference.)*

**3.4 Meal Receipts**

Meal receipts are no longer required when claiming the meal allowance. If receipts are provided, the actual amount if less than allowance will be reimbursed.

**EXCEPTIONS:**

- 1) Receipts are required for grant funded travel where the grantor requires complete documentation of travel expenses; and
- 2) Receipts are required of single meals that cost more than \$25.

**4.0 Per Diem Allowance – Hotel/Lodging:**

4.1 Since the expense limit varies by county, the expense limit shall be pre-approved by the LADWP Contract Administrator identified in the Agreement for travel by the Consultant to an approved worksite outside of Los Angeles County.

4.2 Zero balance receipt is required. The receipt must include the pre-printed hotel name and address. Lodging expenses up to a maximum limit of \$182.00 per day (Los Angeles County). This should exclude taxes and tips. Tips and gratuities shall not exceed 15%, where reasonable and customary.

4.3 Meals and lodging are reimbursable only on working days as reported on timesheets, and payments are subject to the approval of the LADWP Contract Administrator identified in the Agreement.

**5.0 Mileage:**

Mileage for non-rental car travel will be paid at the standard mileage rate established by the Internal Revenue Service in effect at the time of travel. A Map Quest or similar routing tool is required to document miles driven. The mileage will be calculated using the shortest route. As of January 1, 2022, the reimbursement rate is fifty-six cents (\$0.56) per mile.

**END OF ALLOWABLE TRAVEL EXPENSES**

**EXHIBIT E**  
**List of Subconsultants**

The following Subconsultants are authorized to work on the Project:

<b>Name</b>	<b>Special Expertise of Firm</b>	<b>Anticipated Service/Task Responsibilities</b>	<b>Location</b>	<b>Firm Type (SBE/DVBE)</b>	<b>Anticipated Compensation*</b>
Beyaz & Patel	Structural Engineering	Structural Engineering	10920 Via Frontera, Suite 210 San Diego, CA 92127	SBE	TBD
Brown and Caldwell	Engineering Consultant	DB Procurement, Architecture, and Civil support	1000 Wilshire Blvd, Suite 1690 Los Angeles, CA 90017	Other	TBD
Diaz-Yourman & Associates	Geotechnical Engineering	Geotechnical Engineering	1616 E. 17 <sup>th</sup> Street Santa Ana, CA 92705	SBE	TBD
Hilts Consulting Group, Inc.	Civil/Structural Engineering	Civil/Structural Engineering	5590 Via de Campo Yorba Linda, CA 92887	Other	TBD
Paul Hansen Engineering, LLC	Cost Estimating/Scheduling	Cost Estimating/Scheduling	2103 W. Crestwood Street Rancho Palos Verdes, CA 90275	SBE	TBD
Schnabel Engineering West, Inc.	Hydraulics	Hydraulic Design Review and Risk Management	818 West 7 <sup>th</sup> Street Los Angeles, CA 90017	Other	TBD
Water Quality & Treatment Solutions, Inc.	Water Quality	Water Quality Treatment Evaluation and Design Review	21018 Osborne Street, Suite 1 Canoga Park, CA 91304	SBE	TBD

\* Subconsultant participation is estimated and may be modified to reflect actual services requested.

## EXHIBIT F

### List of Key Consultant Personnel

The following people are designated as Consultant's Key Personnel:

<b>Name</b>	<b>Project Position</b>	<b>Labor Category</b>	<b>Location</b>	<b>Special Expertise</b>	<b>No. of Years Employed by Consultant/ Experience</b>
Christine Cotton	Contract Manager/ Principal; Regulatory Task Lead	Principal	Los Angeles, CA	Water treatment and water quality planning and design; contract management	26 / 26
Mike Maxwell	Program Manager	Principal	Los Angeles, CA	Project management and design engineering	8 / 18
Peter Tymkiw	Design-Build Procurement Task Lead	Principal	Phoenix, AZ	Design-build of treatment facilities	21 / 37
Hector Arias	Construction Services Task Lead	Senior Associate – Project Manager	Los Angeles, CA	Program, project, and construction management	19 / 30
Dan Ryan	Design and Pre-Construction Services Task Lead	Lead Project Professional – Project Manager	Los Angeles, CA	Mechanical systems engineering design	7 / 25
Anna Stehouwer	Design and Pre-Construction Services Support	Lead Engineer	Houston, TX	Water treatment design engineering	5 / 8

**EXHIBIT F**  
**List of Key Consultant Personnel**

<b>Name</b>	<b>Project Position</b>	<b>Labor Category</b>	<b>Location</b>	<b>Special Expertise</b>	<b>No. of Years Employed by Consultant/ Experience</b>
Adam Zacheis (Brown and Caldwell)	Design-Build Procurement Support	Senior Project Manager & QA/QC Lead	Los Angeles, CA	Water treatment process engineering	3 / 24



## EXHIBIT G

### Contract Insurance Requirements

**CONTRACT INSURANCE REQUIREMENTS -- DEPARTMENT OF WATER AND POWER**  
**For Contractors, Service Providers, Vendors, and Tenants**

Agreement/Activity/Operation: \_\_\_\_\_ PPS - Owner's Agent for Fairmont Sedimentation Plant Project  
Reference/Agreement: \_\_\_\_\_ REQ 133822 - CERTIFICATE ACCEPTABLE (w/required specific endorsements)  
Term of Agreement: \_\_\_\_\_ 9 years  
Contract Administrator and Phone: \_\_\_\_\_  
Buyer and Phone Number: \_\_\_\_\_ Joelle Morisseau-Phillips (213) 367-0123

Contract-required types and amounts of insurance as indicated below by checkmark are the minimum which must be maintained. All limits are Combined Single Limit (Bodily Injury/Property Damage) unless otherwise indicated. Firm 30 day Notice of Cancellation required by Receipted Delivery.

**PER OCCURRENCE LIMITS**

- (✓) WORKERS' COMPENSATION(Stat. Limits)/Employer's Liability: ( \$1,000,000.00 )  
    (✓) CA/All States Endorsement ( ) US L&H (Longshore and Harbor Workers)  
    ( ) Jones Act (Maritime Employment) ( ) Outer Continental Shelf  
    (✓) Waiver of Subrogation ( ) Black Lung (Coal Mine Health and Safety)  
    ( ) Other: \_\_\_\_\_ ( ) Other: \_\_\_\_\_
- (✓) AUTOMOBILE LIABILITY: ( \$1,000,000.00 )  
    (✓) Owned Autos ( ) Any Auto  
    (✓) Hired Autos (✓) Non-Owned Auto  
    ( ) Contractual Liability (✓) Additional Insured  
    ( ) MCS-90 (US DOT) ( ) Trucker's Form  
    ( ) Waiver of Subrogation ( ) Other: \_\_\_\_\_
- (✓) GENERAL LIABILITY: ( ) Limit Specific to Project ( ) Per Project Aggregate ( \$5,000,000.00 )  
    (✓) Property Damage (✓) Contractual Liability (✓) Personal Injury  
    (✓) Premises and Operations (✓) Products/Completed Ops. ( ) Independent Contractors  
    ( ) Fire Legal Liability ( ) Garagekeepers Legal Liab. ( ) Child Abuse/Molestation  
    ( ) Corporal Punishment ( ) Collapse/Underground ( ) Explosion Hazard  
    ( ) Watercraft Liability ( ) Pollution (✓) Additional Insured Status  
    ( ) Waiver of Subrogation ( ) Airport Premises ( ) Hangarkeepers Legal Liab.  
    ( ) Marine Contractors Liability ( ) Other: \_\_\_\_\_ ( ) Other: \_\_\_\_\_
- (✓) PROFESSIONAL LIABILITY: ( \$1,000,000.00 )  
    ( ) Contractual Liability ( ) Waiver of Subrogation (✓) 3 Year Discovery Tail  
    ( ) Additional Insured ( ) Vicarious Liability Endt. ( ) Other: \_\_\_\_\_
- ( ) AIRCRAFT LIABILITY: \_\_\_\_\_  
    ( ) Passenger Per Seat Liability ( ) Contractual Liability ( ) Hull Waiver of Subrogation  
    ( ) Pollution ( ) Additional Insured ( ) Other: \_\_\_\_\_
- ( ) PROPERTY DAMAGE: ( ) Loss Payable Status (AOIMA) \_\_\_\_\_  
    ( ) Replacement Value ( ) Actual Cash Value ( ) Agreed Amount  
    ( ) All Risk Form ( ) Named Perils Form ( ) Earthquake: \_\_\_\_\_  
    ( ) Builder's Risk:\$ \_\_\_\_\_ ( ) Boiler and Machinery ( ) Flood: \_\_\_\_\_  
    ( ) Transportation Floater:\$ \_\_\_\_\_ ( ) Contractors Equipment\$ \_\_\_\_\_ ( ) Loss of Rental Income: \_\_\_\_\_  
    ( ) Scheduled Locations/Propt. ( ) Other: \_\_\_\_\_ ( ) Other: \_\_\_\_\_
- ( ) WATERCRAFT: \_\_\_\_\_  
    ( ) Protection and Indemnity ( ) Pollution ( ) Additional Insured  
    ( ) Waiver of Subrogation ( ) Other: \_\_\_\_\_ ( ) Other: \_\_\_\_\_
- ( ) POLLUTION: \_\_\_\_\_  
    ( ) Incipient/Long Term ( ) Sudden and Accidental ( ) Additional Insured  
    ( ) Waiver of Subrogation ( ) Contractor's Pollution ( ) Other: \_\_\_\_\_
- ( ) CRIME: ( ) Joint Loss Payable Status ( ) Additional Insured \_\_\_\_\_  
    ( ) Fidelity Bond ( ) Financial Institution Bond ( ) Loss of Monies/Securities  
    ( ) Employee Dishonesty ( ) In Transit Coverage ( ) Wire Transfer Fraud  
    ( ) Computer Fraud ( ) Commercial Crime ( ) Forgery/Alteration of Docs.  
    ( ) Other: \_\_\_\_\_ ( ) Other: \_\_\_\_\_
- ( ) ASBESTOS LIABILITY: ( ) Additional Insured \_\_\_\_\_

Insurance Req 12/23/2020LC

## **EXHIBIT H**

### **Statement of Work**

#### **1.0 BACKGROUND**

The LADWP Los Angeles Aqueduct (LAA) has provided 20 percent of the City of Los Angeles' total water supply by transporting water from the snows of the Sierra Nevada for more than 200 miles down to Southern California. Treatment of the LAA water is currently being addressed in a two-step process: pretreatment at Cottonwood Treatment Plant (CTP) and final treatment at Los Angeles Aqueduct Filtration Plant (LAAFP). The current treatment strategy is approaching its operational limits. The construction of the Fairmont Sedimentation Plant will eliminate the need to operate the CTP and improve operational flexibility of the LAAFP by improving source water quality.

LADWP is pursuing an Owner's Agent with expertise in engineering and consulting services in plate settler and dewatering processes and technology for independent review of design proposals and construction documents developed by the Design-Build team. LADWP does not currently employ employee classifications that have the specialized skills, knowledge, and abilities in the development, design, and construction of plate settler sedimentation basins, dewatering facilities, solids handling facilities, and alternative project delivery. The Owner's Agent will assist LADWP in overseeing the Design-Build Team and provide assistance during the design, permitting, negotiations, construction, commissioning, and post construction activities.

#### **2.0 PROJECT OBJECTIVES**

The objective is to establish an agreement with a qualified Consultant who shall provide professional engineering and consulting services on an as-needed basis to assist LADWP with the design and construction associated with the Project. The Owner's Agent Team will elicit, evaluate, ascertain, document, represent, and carry out LADWP's interests through consultation with LADWP staff. The as-needed services may require, but are not limited to, assisting LADWP in overseeing and managing the Project, and provide engineering support such as planning, analysis, designing, permitting, engineering, cost estimating, scheduling, construction review, and post construction activities.

#### **3.0 SCOPE OF WORK**

The Consultant shall provide as-needed owner's representative services which include, but are not limited to, project administration; procurement services; regulatory coordination; services during design; services during construction; and closeout of the project. Services shall be requested through a Task Order Development and Approval process located in Article VI, Section 6.4, Task Order Approval and Authorization, of this Agreement.

#### **4.0 DETAILED TECHNICAL REQUIREMENTS (CONSULTANT REQUIREMENTS) AND CONTRACT DELIVERABLES**

The Consultant shall furnish all labor, materials, equipment, transportation, expertise,

## **EXHIBIT H**

### **Statement of Work**

supervision, and management to perform owner's representative services. Detailed requirements and deliverables shall be individually defined on each approved Task Order based on the needs of the project(s), and the support and specialized skills required.

The services to be performed may include, but are not limited to the following:

#### **A. Project Administration**

##### **i. Meetings**

The Owner's Agent team will be required to attend a project implementation meeting that is to be scheduled by the Contract Administrator after the Notice to Proceed is issued. The meeting will be held virtually or at the LADWP's office at 111 N. Hope St., Los Angeles, CA. The Owner's Agent Program Manager and key project staff must attend the implementation meeting.

During Phase 1, which consists of design and preconstruction services, the project team coordination meetings will be held biweekly at minimum. During Phase 2, which consists of construction services, the project team coordination meetings will be held weekly at minimum. These meetings are in addition to other meetings which may include, but not limited to, coordination meetings with regulatory agencies, construction meetings, and design review meetings. The meetings will be held at the LADWP's office or in-virtual meetings. The Owner's Agent Program Manager and key project staff must attend these meetings.

##### **ii. Project Coordination**

The Owner's Agent team shall, in the proposal, identify a Program Manager. The Program Manager shall be the primary contact for the LADWP, and shall have a thorough knowledge of all aspects of the project and its status.

#### **B. Design-Build Procurement**

##### **i. Development of the Progressive Design-Build Services RFP Package**

The Owner's Agent shall assist LADWP with the preparation of procurement documents and construction contracts including specifications, evaluation criteria, and performance requirements which conform to LADWP requirements; and the development of the solicitation approach and related documents such as, but not limited to, Request for Proposal and contract agreements.

## **EXHIBIT H**

### **Statement of Work**

- ii. **Bridging Documents**  
The Owner's Agent shall assist in the evaluation and development of all bridging documents that will be supplied to the Design-Builder. Bridging documents may include, but are not limited to, conceptual design documents, LADWP standards and procedures, and scoping documents.
  - iii. **Progressive Design-Build Services RFP Proposal Evaluation and Contract Negotiation**  
The Owner's Agent shall provide guidance to the LADWP for the evaluation of proposals and the contract negotiation for the Design-Build Services. The Owner's Agent shall also provide guidance to the LADWP in responding to questions and issuing necessary addenda during the proposal stage.
- C. **Coordination and Regulatory Meetings**  
The Owner's Agent shall provide assistance to the LADWP in communicating and coordinating with stakeholders including, but not limited to, LADWP Board of Commissioners, project team, legal support, the community, and external agencies, as required by the LADWP. This may require the Owner's Agent to attend public hearings/meetings and stakeholders' outreach.
- D. **Risk Management**  
The Owner's Agent shall conduct risk management analysis throughout the different phases of the Project as desired by LADWP.
- E. **Design and Pre-Construction Services**
  - i. **Review Available Documents**  
The Owner's Agent shall assist in the independent review and evaluation of project documents prepared by the LADWP which may include, but are not limited to, the Geotechnical Data Report, Survey Maps, and existing hydraulic analyses.
  - ii. **Schedule Reviews**  
The Owner's Agent team shall assist in the independent review and evaluation of the design and construction project schedules developed by the Design-Builder. The Owner's Agent shall also identify optimal project scheduling options and provide strategies to avoid schedule delays.
  - iii. **Design Reviews**  
The Owner's Agent shall assist in the independent evaluation and review of the design documents prepared by the Design-

## **EXHIBIT H**

### **Statement of Work**

Builder which may include, but are not limited to, the Geotechnical Report, site investigations, design drawings, and hydraulic analyses. The Owner's Agent shall perform a constructability and operability reviews if desired by the LADWP.

- iv. **Permits**  
The Owner's Agent shall assist in obtaining permits and regulatory approvals as desired by the LADWP.
- v. **Cost Estimating**  
The Owner's Agent shall assist in the independent review and evaluation of the Design-Builder's cost estimates and the Guaranteed Maximum Price as desired by the LADWP. The Owner's Agent shall also provide independent financial analysis and value engineering of the Project as requested by the LADWP.
- vi. **Quality Management**  
The Owner's Agent team shall assist in the review and evaluation of the Design-Builder's Quality Assurance/Quality Control Plan.

#### **F. Construction Services**

- i. **Schedule Reviews**  
The Owner's Agent team shall assist in the independent review and evaluation of the construction project schedules developed by the Design-Builder. The Owner's Agent shall also identify optimal project scheduling options and provide strategies to avoid schedule delays.
- ii. **Cost Estimating**  
The Owner's Agent team shall assist the LADWP in independent review and evaluation change order requests during construction. The Owner's Agent shall also provide independent financial analysis and value engineering of the Project as requested by the LADWP.
- iii. **Quality Management**  
The Owner's Agent team shall provide guidance on evaluating the Design-Builder's Quality Assurance/Quality Control Plan. The Owner's Agent shall assist in the evaluation of the Design-Builder's project health and safety practices.
- iv. **Inspections**

## **EXHIBIT H**

### **Statement of Work**

The Owner's Agent shall assist in the evaluation of the Design-Builder's plans for special inspections and offer recommendations.

v. **Start Up and Testing Phase**

The Owner's Agent shall assist LADWP in the evaluation and review of the start-up and testing plans prepared by the Design-Builder. The Owner's Agent shall assist LADWP in the review and evaluation of the Design-Builder's facility start up and testing schedule and activities. The Owner's Agent shall provide oversight of the functional testing, performance testing, integration testing, facility testing, start-up, and visual inspections.

vi. **Operations, Maintenance, and Monitoring Plan Review**

The Owner's Agent shall assist in the development, review and evaluation of the Operations, Maintenance, and Monitoring Plan developed by the Design-Builder.

vii. **Commissioning Phase**

The Owner's Agent shall provide guidance on the development and evaluation the of DDW and LADWP Commissioning Schedule and Commissioning Plan. The Owner's Agent will provide oversight of the DDW and LADWP Commissioning Phases.

viii. **Record Drawings**

The Owner's Agent shall assist in the evaluation of the record drawings prepared by the Design-Builder. The Owner's Agent will assist in the development, review, and tracking of the punch list item with the Design-Builder.

## **5.0 REPORTING**

The Owner's Agent team shall provide to the Contract Administrator written and electronic monthly progress reports in PDF format, for each active Task Assignment. Each report shall include the task number, task coordinator, task title, the authorized expenditure, the dates of the working period, the total dollars invoiced to date, Task Order percent complete, percent of authorized Task Order cost invoiced to date, and the original and approved completion date of each Task Order by the Design-Builder. The report shall briefly discuss the work accomplished, work left to be done, and an estimated completion date. Additional schedule requirements shall be specified in each Task Proposal.

The Consultant shall, at the end of this Agreement, issue a final report summarizing all tasks that have been performed for the Department, with a description of end results for

**EXHIBIT H**  
**Statement of Work**

each task.

**EXHIBIT I**  
**Background Check Certification**

**BACKGROUND CHECK CERTIFICATION**

On behalf of \_\_\_\_\_ (name of vendor), \_\_\_\_\_ (name of company performing screening services) has performed background checks on the individuals named below. The background checks consisted of the following components:

1. Social Security Number (SSN) Trace:
  - a. Validates the SSN based on issuance data published by the Social Security Administration (not a work eligibility verification).
  - b. Provides independent address history going back at least 7 years; sources include multiple commercial databases, including header information from three credit bureaus.
2. Expanded Criminal History Search (search for felony and misdemeanor records in all jurisdictions of known employment and residence in the past seven years (includes State, County, and Federal levels):
  - a. Includes direct source searches (State, County, and Federal Courts) in all known jurisdictions where the subject has lived or worked in the past seven years. The entire Federal District Court level is automatically covered with the exception of four appeals courts.
3. Auxiliary National Criminal Index (ANCI)
  - a. Includes over 180 million felonies, misdemeanors, infractions, and sex offender records. Data sources from over 50 states, plus the District of Columbia, Puerto Rico, and Guam, consist of one or more of the following: County Court indexes, State Administrative and police repositories, State Department of Corrections, and sex offender registries.
4. Office of Foreign Asset Control (OFAC) Watch List:
  - a. A list of over 5,000 known terrorists, national drug traffickers, specially designated and block persons as identified by the OFAC, a Department of the United States (U.S.) Department of Treasury.
  - b. Based on U.S. foreign trade policy and national security goals, persons on the list should not be engaged in economic or commercial activities in the U.S.

NO DEROGATORY INFORMATION WAS FOUND ON THE FOLLOWING INDIVIDUALS:

\_\_\_\_\_

\_\_\_\_\_  
Person Performing Screening Services      Date

\_\_\_\_\_  
Executive Management of Company      Date      Name of the Company



**EXHIBIT J**  
**Confidentiality Agreement for LADWP Proprietary Information**

**A. Confidentiality**

All documents, records, and information provided by LADWP to the Contractor, or accessed or reviewed by the Contractor, during performance of this Agreement shall remain the property of LADWP. All documents, records and information provided by LADWP to the Contractor, or accessed or reviewed by the Contractor during performance of this Agreement, are deemed confidential. The Contractor agrees not to provide these documents and records, nor disclose their content or any information contained in them, either orally or in writing, to any other person or entity. The Contractor agrees that all documents, records, or other information used or reviewed in connection with the Contractor's work for LADWP shall be used only for the purpose of carrying out LADWP business and cannot be used for any other purpose. The Contractor shall be responsible for protecting the confidentiality and maintaining the security of LADWP documents, records, and information in its possession.

**B. Document Access/Control**

1. The Contractor shall make the confidential information provided by LADWP to the Contractor, or accessed or reviewed by the Contractor during performance of this Agreement, available to its employees, agents and /or subcontractors, only on a need-to-know basis. Further, the Contractor shall provide written instructions to all of its employees, agents and subcontractors, with access to the confidential information about the penalties for its unauthorized use or disclosure.
2. The Contractor shall store and process confidential information in an electronic format in such a way that unauthorized persons cannot retrieve the information by computer, remote terminal or other means.
3. The Contractor shall not remove documents, records, or information used or reviewed in connection with the Contractor's work for LADWP from LADWP facilities without prior approval from LADWP. The Contractor shall not use, other than in direct performance of work required pursuant to the Agreement, or make notes of any home address or home telephone numbers contained in personnel or customer files, confidential information, documents, or records provided by LADWP that are reviewed during work on this Agreement.
4. The Contractor shall not make or retain copies of any such documents, written and electronic materials, notes, documents, confidential information, records, or other information. Provided however, with prior written approval from LADWP, the Contractor may make copies of such documents, written materials, notes, documents, confidential information, or other information, as necessary to perform its duties under this Agreement.
5. The Contractor shall document and immediately report to LADWP any unauthorized use or disclosure of confidential information of which the Contractor becomes aware.

**EXHIBIT J**  
**Confidentiality Agreement for LADWP Proprietary Information**

6. The Contractor shall require that all its employees, agents, and subcontractors who shall, or may, review, be provided, or have access to LADWP data, information, personnel or customer files, confidential information, documents, or records during the performance of this Agreement, execute a confidentiality agreement that incorporates the provisions of this Confidentiality Agreement, prior to performing work under this Agreement.

**C. Return of All Documents to LADWP**

The Contractor shall, at the conclusion of this Agreement or at the request of LADWP, promptly return any and all written materials, notes, documents, records, confidential information, or other information obtained by the Contractor during the course of work under this Agreement to LADWP, and all paper and electronic copies thereof. Provided however, the Contractor may retain duplicates and originals, as appropriate, of Contractor's administrative communications, records, files, and working papers relating to the services provided by the Contractor pursuant to this Agreement.

**D. Work Product and Deliverable Confidentiality**

Any reports, findings, deliverables, analyses, studies, notes, information or data generated as a result of this Agreement are to be considered confidential. The Contractor shall not make such information available to any individual, agency, or organization except as provided for in this Agreement or as required by law. Notwithstanding the foregoing, the Contractor may reference its work under this Agreement in general terms in presentations and proposals, provided that in doing so, the Contractor does not disclose any non-public information. The Contractor may not release any information, whether or not such information is public information, to the media without prior written approval from LADWP.

**E. Subcontractors Subject to the Confidentiality Agreement**

Any subcontract entered into pursuant to the terms of this Agreement shall be subject to, and shall incorporate, the provisions of this Confidentiality Agreement.

Bidder has caused their duly authorized representative to execute this Agreement as follows:

Date: March 31, 2022

Signature:   
Christine A. Cotton, PE

Firm: Arcadis U.S., Inc.

Title: Senior Vice President



**EXHIBIT K**  
**Safety Compliance Certificate**

I, Christine A. Cotton the undersigned,  
(Print Company Representative Name)  
Senior Vice President of  
(Print Company Representative Title)  
Arcadis U.S., Inc. hereby certify the  
(Print Company Name)

Information contained herein and that undersigned is duly authorized to certify that:

- A. Contractor has an Injury and Illness Prevention Program which meets the requirements of all applicable laws and regulations, including, but not limited to, industry standards and the California Occupational Safety and Health Administration, Title 8 of the California Code of Regulations, General Industry and Construction Safety Orders. (This section does not apply if Contractor does not perform any work under this agreement within the State of California.)

California Code of Regulations General Industry Safety Orders

<http://www.dir.ca.gov/Title8/3203.html>

California Code of Regulations Construction Safety Orders

<http://dir.ca.gov/Title8/1509.html>

If Contractor performs any work under this agreement outside the State of California, Contractor shall comply with applicable local, State, and Federal laws and regulations, including, but not limited to, industry standards and the Occupational Safety and Health Administration, General Duty Clause.

<https://www.osha.gov/laws-regs/oshact/section5-duties>

- B. Contractor agrees that it is fully responsible for the acts and omissions of its subcontractors and all persons either directly or indirectly employed by Contractor.
- C. The above-named person has the authority and responsibility for implementing and administering Contractor's Injury and Illness Prevention Program.

IN WITNESS WHEREOF, the undersigned has executed this Safety Compliance Certificate under the penalty of perjury of the laws of the State of California on:

Signature: 

Print Name: Christine A. Cotton, PE

Date: March 31, 2022

Revised: 1/2/18

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