



Los Angeles City Ethics Commission

August 20, 2014

The Honorable City Council  
c/o Holly Wolcott, Interim City Clerk  
200 North Spring Street  
City Hall – 3rd Floor  
Los Angeles CA 90012

**Re: Council File Number 14-1124  
Reappointment of Leonard Shaffer to the  
Board of Neighborhood Commissioners**

***FOR COUNCIL CONSIDERATION***

Dear Councilmembers:

Leonard Shaffer was reappointed by the Mayor to the Board of Neighborhood Commissioners on August 13, 2014. The Ethics Commission received Mr. Shaffer's pre-confirmation financial disclosure statement on August 18, 2014. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Shaffer's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

Shannon Prior  
Ethics Program Manager

*Enclosures:*

CA Form 700  
CEC Form 60

cc: Mayor Eric Garcetti

RECEIVED  
CITY CLERK'S OFFICE  
2014 AUG 20 PM 4: 28  
CITY CLERK  
BY ELG  
DEPUTY 915

Pre-confirmation Statement  
STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE

LOS ANGELES CITY  
ETHICS COMMISSION  
Date Received  
Office Use Only  
AUG 1 2 2014

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
Shaffer Leonard

RECEIVED

1. Office, Agency, or Court

Agency Name

Board of Neighborhood Commissioners

Division, Board, Department, District, if applicable

Your Position

Commissioner

► If filing for multiple positions, list below or on an attachment.

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County

☐ County of

☒ City of Los Angeles

☐ Other

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2013, through December 31, 2013.

☐ Leaving Office: Date Left (Check one)

-or-

The period covered is through December 31, 2013.

☐ The period covered is January 1, 2013, through the date of leaving office.

☐ Assuming Office: Date assumed

☐ The period covered is through the date of leaving office.

☒ Pre-confirmation (Date appointed or reappointed)

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 7

☐ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that

Date Signed August 17, 2014  
(month, day, year)

Signature

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name _____
---

**1. BUSINESS ENTITY OR TRUST**

Leonard J. Shaffer & Tobey H. Shaffer Inter Vivos Trust

Name

PO Box 570936 Tarzana, CA 91357

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2      ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

**FAIR MARKET VALUE**

- ☐ \$0 - \$1,999  
☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**IF APPLICABLE, LIST DATE:**

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

**NATURE OF INVESTMENT**

☐ Sole Proprietorship    ☐ Partnership    ☐ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

- ☒ \$0 - \$499      ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000      ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT      ☒ REAL PROPERTY

950 N. Kings Rd #245 West Hollywood, CA 90069

Name of Business Entity, if Investment, or

Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**

- ☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**IF APPLICABLE, LIST DATE:**

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

**NATURE OF INTEREST**

☐ Property Ownership/Deed of Trust    ☐ Stock    ☐ Partnership

☐ Leasehold \_\_\_\_\_ Yrs. remaining    ☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

**1. BUSINESS ENTITY OR TRUST**

Leonard J. Shaffer & Tobey H. Shaffer Inter Vivos Trust

Name

PO Box 570936 Tarzana, CA 91357

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2      ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

**FAIR MARKET VALUE**

- ☐ \$0 - \$1,999  
☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**IF APPLICABLE, LIST DATE:**

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

**NATURE OF INVESTMENT**

☐ Sole Proprietorship    ☐ Partnership    ☐ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

- ☒ \$0 - \$499      ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000      ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☒ INVESTMENT      ☐ REAL PROPERTY

Amgen, Inc

Name of Business Entity, if Investment, or

Assessor's Parcel Number or Street Address of Real Property

Drug Manufacturer

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**

- ☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**IF APPLICABLE, LIST DATE:**

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

**NATURE OF INTEREST**

☐ Property Ownership/Deed of Trust    ☐ Stock    ☐ Partnership

☐ Leasehold \_\_\_\_\_ Yrs. remaining    ☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached



**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION  
Name \_\_\_\_\_

**▶ 1. BUSINESS ENTITY OR TRUST**

Tobey Harriett Shaffer/Clara Sheffer Unitrust

Name

165 E. 10th Street Claremont, CA 91711

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2    ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/13  
ACQUIRED

\_\_\_\_/\_\_\_\_/13  
DISPOSED

NATURE OF INVESTMENT

☐ Sole Proprietorship

☐ Partnership

☐ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☒ OVER \$100,000

☐ \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☒ INVESTMENT

☐ REAL PROPERTY

See attached list of investments

Name of Business Entity, if Investment, or  
Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/13  
ACQUIRED

\_\_\_\_/\_\_\_\_/13  
DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold \_\_\_\_\_  
Yrs. remaining

☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached

Comments: \_\_\_\_\_

**▶ 1. BUSINESS ENTITY OR TRUST**

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2    ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/13  
ACQUIRED

\_\_\_\_/\_\_\_\_/13  
DISPOSED

NATURE OF INVESTMENT

☐ Sole Proprietorship

☐ Partnership

☐ Other \_\_\_\_\_

YOUR BUSINESS POSITION \_\_\_\_\_

**▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499

☐ \$10,001 - \$100,000

☐ \$500 - \$1,000

☐ OVER \$100,000

☐ \$1,001 - \$10,000

**▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

**▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT

☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

\_\_\_\_/\_\_\_\_/13  
ACQUIRED

\_\_\_\_/\_\_\_\_/13  
DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust

☐ Stock

☐ Partnership

☐ Leasehold \_\_\_\_\_  
Yrs. remaining

☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached

**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
(Other than Gifts and Travel Payments)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name _____
---

**▶ 0. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

City of Palmdale

ADDRESS (Business Address Acceptable)

38300 Sierra Highway Palmdale, CA 93550

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

Plaintiff's Attorney

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment      ☐ Partnership

☐ Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☒ Other Legal fees ordered by Superior Court  
(Describe)

**▶ 1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000      ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000      ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary      ☐ Spouse's or registered domestic partner's income

☐ Loan repayment      ☐ Partnership

☐ Sale of \_\_\_\_\_  
(Real property, car, boat, etc.)

☐ Commission or      ☐ Rental Income, list each source of \$10,000 or more

☐ Other \_\_\_\_\_  
(Describe)

**▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000  
☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000  
☐ OVER \$100,000

INTEREST RATE

\_\_\_\_\_%      ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None      ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_



PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES  
 CGU - DAVIDSON 7% STANDARD UNITRUST #6  
 CLAREMONT GRADUATE UNIVERSITY - 263-2730006  
 CNB #: 478706191  
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
<b>Cash and Money Market Funds</b>									
	City National Bank Insured Money Market		4,573.09		4,573.09	3.4	0.055	2.52	0.1
			4,573.09		4,573.09	3.4		2.52	0.1
<b>Fixed Income Mutual Funds - Taxable</b>									
2,010.847	Vanguard Short-Term Inv Grade Fund Investors	10.50	21,123.66	10.70	21,516.06	16.2	0.193	388.58	1.8
			21,123.66		21,516.06	16.2		388.58	1.8
<b>Equity Mutual Funds</b>									
447.390	Royce Pennsylvania Mutual Fund	12.07	5,400.00	14.73	6,590.05	5.0	0.001	0.58	0.0
			5,400.00		6,590.05	5.0		0.58	0.0
<b>Int'l Equity Mutual Funds</b>									
30.800	Harbor International Fd-Instl	65.50	2,018.00	71.01	2,187.75	1.6	1.495	46.07	2.1
82.300	Matthews Pacific Tiger Fund	24.52	2,018.00	24.99	2,056.68	1.6	0.156	12.87	0.6



Stocks:

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES  
 CGU - DAVIDSON 7% STANDARD UNITRUST #6  
 CLAREMONT GRADUATE UNIVERSITY - 263-2730006  
 CNB #: 478706191  
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
57.547	Oppenheimer Developing Markets Fund A	29.73	1,711.00	38.02	2,187.94	1.6	0.036	2.10	0.1
			5,747.00		6,432.36	4.8		61.04	0.9
<b>Common Stock</b>									
35	Abbott Labs	21.90	766.59	38.33	1,341.55	1.0	0.880	30.80	2.3
35	AbbVie Inc.	23.75	831.31	52.81	1,848.35	1.4	1.600	56.00	3.0
60	Accenture	36.65	2,198.88	82.22	4,933.20	3.7	1.860	111.60	2.3
116	Bank of New York Mellon Corp.	33.18	3,848.58	34.94	4,053.04	3.1	0.600	69.60	1.7
30	Berkshire Hathaway B	81.18	2,435.25	118.56	3,556.80	2.7	0.000	0.00	0.0
125	Cisco Systems, Inc.	27.84	3,479.93	22.43	2,803.75	2.1	0.680	85.00	3.0
70	Colgate-Palmolive Co.	25.27	1,768.75	65.21	4,564.70	3.4	1.360	95.20	2.1
60	ConocoPhillips	46.88	2,813.09	70.65	4,239.00	3.2	2.760	165.60	3.9
40	Costco Wholesale Corp.	29.73	1,189.08	119.02	4,760.80	3.6	1.240	49.60	1.0
28	Emerson Electric Co.	47.91	1,341.46	70.18	1,965.04	1.5	1.720	48.16	2.5
40	ExxonMobil Corporation	39.66	1,586.25	101.20	4,048.00	3.1	2.520	100.80	2.5
30	Franklin Resources Inc	48.74	1,462.11	57.73	1,731.90	1.3	0.480	14.40	0.8
85	General Electric	16.09	1,367.92	28.03	2,382.55	1.8	0.880	74.80	3.1
64	Intel Corp.	15.30	979.40	25.96	1,661.12	1.3	0.900	57.60	3.5
14	International Bus Mach	57.84	809.80	187.57	2,625.98	2.0	3.800	53.20	2.0





stocks:

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES  
 CGU - DAVIDSON 7% STANDARD UNITRUST #6  
 CLAREMONT GRADUATE UNIVERSITY - 263-2730006  
 CNB #: 478706191  
 December 31, 2013

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
45	Johnson & Johnson	53.51	2,407.88	91.59	4,121.55	3.1	2.640	118.80	2.9
94	Johnson Controls Inc.	8.86	832.65	51.30	4,822.20	3.6	0.880	82.72	1.7
40	JPMorgan Chase & Co.	44.77	1,790.74	58.48	2,339.20	1.8	1.520	60.80	2.6
75	Lowe's Companies	3.68	276.06	49.55	3,716.25	2.8	0.720	54.00	1.5
80	Microsoft Corp.	23.26	1,860.90	37.41	2,992.80	2.3	1.120	89.60	3.0
85	Oracle Systems	16.80	1,426.06	38.26	3,252.10	2.5	0.480	40.80	1.3
10	Precision Castparts Corp	55.08	550.83	269.30	2,693.00	2.0	0.120	1.20	0.0
30	Qualcomm, Inc	59.24	1,777.13	74.25	2,227.50	1.7	1.400	42.00	1.9
35	Schlumberger Ltd	27.02	945.53	90.11	3,153.85	2.4	1.250	43.75	1.4
60	Stryker Corporation	44.09	2,645.22	75.14	4,508.40	3.4	1.220	73.20	1.6
25	Transocean Ltd.	68.32	1,707.92	49.42	1,235.50	0.9	2.240	56.00	4.5
25	United Technologies	75.39	1,884.66	113.80	2,845.00	2.1	2.360	59.00	2.1
80	Walgreen Co.	29.91	2,393.02	57.44	4,595.20	3.5	1.260	100.80	2.2
100	Wells Fargo & Co.	22.52	2,251.84	45.40	4,540.00	3.4	1.200	120.00	2.6
			49,630.84		93,558.33	70.5		1,955.03	2.1
TOTAL PORTFOLIO			86,474.59		132,669.90	100.0		2,407.75	1.8

# Pre-confirmation Statement

LOS ANGELES CITY  
ETHICS COMMISSION



City Ethics Commission  
200 N Spring Street  
City Hall — 24th Floor  
Los Angeles, CA 90012  
Mail Stop 129  
(213) 978-1960

## Restricted Source Financial Disclosure Statement CEC Form 60

*Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.*

☒ **Original Filing** ☐ **Amended Filing** (original filed on \_\_\_\_/\_\_\_\_/20\_\_\_\_)

**Total Pages:** \_\_\_\_

**Name:** Shaffer, Leonard  
(Last, First, Middle)

**Agency:** Board of Neighborhood Commissioners

**Position:** Commissioner

**Type of Statement:** ☒ **Pre-confirmation** Date of nomination: 08 / 13 / 20 14  
☐ **Assuming Office** First day in position: \_\_\_\_ / \_\_\_\_ / 20 14  
☐ **Annual** \_\_\_\_ / \_\_\_\_ / 20 13 through December 31, 20 13  
☐ **Leaving Office** Last day in office: \_\_\_\_ / \_\_\_\_ / 20 14

### I had the following interests associated with restricted sources during this reporting period:

#### ☐ 1. REAL PROPERTY

The following interest in real property was leased from or to, co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Address or assessor's parcel number of real property: \_\_\_\_\_

Interest co-owned/purchased/sold by/leased by or to: ☐ Me ☐ My spouse/registered domestic partner  
☐ My dependent child

Interest was: ☐ Leased ☐ Co-owned ☐ Purchased (date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_) ☐ Sold (date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_)

Nature of interest: ☐ Ownership/Deed or Trust ☐ Easement ☐ Leasehold (years remaining: \_\_\_\_)  
☐ Other: \_\_\_\_\_

Value of interest: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000

Do you have additional real property interests to report? ☐ No ☐ Yes, and \_\_\_\_ additional pages are attached.

#### ☐ 2. INVESTMENTS

The following investments (other than real property) were co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Name of investment: \_\_\_\_\_

Nature of investment: ☐ Stock ☐ Partnership ☐ Other \_\_\_\_\_

Investment co-owned/purchased/sold by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child

Investment was: ☐ Co-owned ☐ Purchased (date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_) ☐ Sold (date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_)

Value of investment: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000

Do you have additional investments to report? ☐ No ☐ Yes, and \_\_\_\_ additional pages are attached.



City Ethics Commission  
200 N Spring Street  
City Hall — 24th Floor  
Los Angeles, CA 90012  
Mail Stop 129  
(213) 978-1960

## Restricted Source Financial Disclosure Statement CEC Form 60

### ☐ 3. INCOME

The following income was received from a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Business activity of source: \_\_\_\_\_

Your business position: \_\_\_\_\_

Income received by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child

Value of income: ☐ \$500—\$1,000 ☐ \$1,001—\$10,000 ☐ \$10,001—\$100,000 ☐ Over \$100,000

Income was: ☐ Salary/Commission ☐ Loan repayment ☐ Rental income ☐ Sale of \_\_\_\_\_

(e.g., car, boat, etc.)

☐ Other: \_\_\_\_\_

Do you have additional income to report? ☐ No ☐ Yes, and \_\_\_\_\_ additional pages are attached.

### ☐ 4. GIFTS

The following gifts cumulatively valued at \$50 or more were received from a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Business activity of source: \_\_\_\_\_

Gifts received by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child

Dates received: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_; \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_ Value of gifts: \_\_\_\_\_

Description of gifts: \_\_\_\_\_

Do you have additional gifts to report? ☐ No ☐ Yes, and \_\_\_\_\_ additional pages are attached.

### ☐ 5. BOARD POSITIONS

The following position was held on the board of a restricted source.

Name of restricted source: \_\_\_\_\_

Address of restricted source: \_\_\_\_\_

Position title: \_\_\_\_\_

Position held by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child

Do you have additional positions to report? ☐ No ☐ Yes, and \_\_\_\_\_ additional pages are attached.

### ☒ 6. NO INTERESTS

I had no reportable interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

### Certification

I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form, and

08/17/14

Date