



KAREN BASS
MAYOR

MEMORANDUM

To: The Honorable Members of the City Council
c/o City Clerk

From: Karen Bass, Mayor *Karen Bass*

Subject: **EXEMPTION REQUEST – LA DEPARTMENT OF WATER AND POWER,
(1) ASSISTANT GENERAL MANAGER, CHIEF RISK AND
COMPLIANCE OFFICER**

Date: October 7, 2024

The Los Angeles Department of Water and Power has requested the exemption of one (1) position of Assistant General Manager Water and Power, Class Code 0151, in accordance with Charter Section 1001(b), from civil service as management, professional, scientific, or expert services exemptions.

Charter Section 1001(b)(1) requires that, “When the position is vacated, the exemption shall terminate unless re-authorized in accordance with this subsection.” Charter Section 1001(b) allows up to 150 persons to be exempt, of which 129 are approved, and an additional 3 are pending approval. Approval of this request will increase the count. As of the date of this letter, this request will be in the 132nd position of the 150 exemptions. There are an additional 50 exempt positions added by the City Council pursuant to Charter Section 1001(b)(4), of which 13 are filled.

This Assistant General Manager will serve as Chief Risk and Compliance Officer (CRCO), will report to LADWP’s Chief Executive Officer and Chief Engineer, and will be responsible for managing and overseeing the operations and activities of the Risk Management, Equal Employment Opportunity (EEO), and Special Investigation sections. Primary duties include risk registry creation and process development; compliance program development and implementation for all three systems (Power, Water, and Joint); quality assurance and quality control; Special Investigations administration; EEO investigations, compliance, and oversight; governance process development and

implementation; design, implementation and testing of controls; systems development and integration; continuous improvement team; development of standards and process consistency.

The Assistant General Manager CRCO position requires:

1. Graduation from an accredited four-year college or university; and
2. Four years of full-time paid management experience in risk management, investigations, compliance and quality control, and system design and development managing compliance programs, interpreting and implementing regulations, and working with regulatory bodies.

Certifications such as the Certified Compliance & Ethics Professional (CCEP), Certified Regulatory Compliance Manager (CRCM), or Certified Risk & Compliance Management Professional (CRCMP) are preferred.

The exemption of this position will allow LADWP the flexibility to recruit and select the best qualified candidate who possesses the necessary experience and expertise for the position. The duties and requirements as described are appropriate to the class of Assistant General Manager Water and Power.

Based on my review of the Los Angeles Department of Water and Powers request, as well as the review of the Personnel Department, I hereby approve the request for the exemption of the Assistant General Manager, Chief Risk and Compliance Officer and transmit my action to the City Council, pursuant to City Charter Section 1001(b)(1). If the exempt position is not filled within six months, the Mayor's approval for the department to fill the position may expire.

KB:zn

cc: Carolyn Webb de Macías, Chief of Staff, Office of the Mayor
Zachia Nazarai, Office of the Mayor
Thomas Arechiga, Office of the Mayor
Grayce Liu, Personnel Department
Janisse Quiñones, Chief Executive Officer and Chief Engineer, LADWP