



August 20, 2019

The Honorable City Council
c/o Holly Wolcott, City Clerk
200 North Spring Street
City Hall – 3rd Floor
Los Angeles CA 90012

**Re: Council File Number 14-1124
Reappointment of Leonard Shaffer to the
Board of Neighborhood Commissioners**

FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Leonard Shaffer was reappointed by the Mayor to the Board of Neighborhood Commissioners on August 3, 2019. The Ethics Commission received Mr. Shaffer's complete pre-confirmation financial disclosure statements on August 19, 2019. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Shaffer's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

A handwritten signature in blue ink, appearing to read "Nicole Enriquez". The signature is fluid and cursive, with a long horizontal stroke at the end.

Nicole Enriquez
Ethics Program Analyst

Enclosures:

Form 700

Form 60

COVER PAGE

AUG 19 19 AUG19 19 12:33PM

A PUBLIC DOCUMENT

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Shaffer Leonard J

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Neighborhood Empowerment, Department of

Division, Board, Department, District, if applicable

Your Position

Commissioner

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County

☐ County of

☒ City of Los Angeles

☐ Other

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2018, through December 31, 2018.

☐ Leaving Office: Date Left / / (Check one circle.)

-or-

The period covered is / / through December 31, 2018.

☐ The period covered is January 1, 2018, through the date of leaving office.

☐ Assuming Office: Date assumed / /

☐ The period covered is / / through the date of leaving office.

☒ Candidate: Date of Election 08/03/2019 and office sought, if different than Part 1:

4. Schedule Summary (must complete)

► Total number of pages including this cover page: 4

Schedules attached

☐ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or- ☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

City Hall, 20th Floor, 200 North Spring Street Los Angeles CA 90012

DAYTIME TELEPHONE NUMBER

(213) 978-1551

EMAIL ADDRESS

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California

Date Signed 8-17-19
(month, day, year)

Signature

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <u>Leonard Shaffer</u>

1. BUSINESS ENTITY OR TRUST	
<u>Colonial Security</u>	
[REDACTED]	
Address (Business Address Acceptable)	
Check one <input type="checkbox"/> Trust, go to 2 <input checked="" type="checkbox"/> Business Entity, complete the box, then go to 2	
GENERAL DESCRIPTION OF THIS BUSINESS <u>Alarm system installation and servicing</u>	
FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/_____ ACQUIRED DISPOSED
NATURE OF INVESTMENT <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Other	
YOUR BUSINESS POSITION <u>Owner</u>	

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	
<input type="checkbox"/> \$0 - \$499 <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000	<input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	
<input checked="" type="checkbox"/> None or <input type="checkbox"/> Names listed below	
_____ _____ _____	

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST	
Check one box: <input type="checkbox"/> INVESTMENT <input type="checkbox"/> REAL PROPERTY	
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property	
Description of Business Activity or City or Other Precise Location of Real Property	
FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/_____ ACQUIRED DISPOSED
NATURE OF INTEREST <input type="checkbox"/> Property Ownership/Deed of Trust <input type="checkbox"/> Stock <input type="checkbox"/> Partnership <input type="checkbox"/> Leasehold <input type="checkbox"/> Other	
<input type="checkbox"/> Check box if additional schedules reporting investments or real property are attached	

1. BUSINESS ENTITY OR TRUST	
<u>Leonard J. Shaffer & Tobey H. Shaffer Inter Vivos Trust</u>	
[REDACTED]	
Address (Business Address Acceptable)	
Check one <input checked="" type="checkbox"/> Trust, go to 2 <input type="checkbox"/> Business Entity, complete the box, then go to 2	
GENERAL DESCRIPTION OF THIS BUSINESS	
FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/_____ ACQUIRED DISPOSED
NATURE OF INVESTMENT <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other	
YOUR BUSINESS POSITION	

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	
<input type="checkbox"/> \$0 - \$499 <input checked="" type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000	<input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	
<input checked="" type="checkbox"/> None or <input type="checkbox"/> Names listed below	
_____ _____ _____	

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST	
Check one box: <input checked="" type="checkbox"/> INVESTMENT <input type="checkbox"/> REAL PROPERTY	
<u>Moss & Company</u>	
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property	
<u>Real property ownership and management</u>	
Description of Business Activity or City or Other Precise Location of Real Property	
FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/_____ ACQUIRED DISPOSED
NATURE OF INTEREST <input type="checkbox"/> Property Ownership/Deed of Trust <input type="checkbox"/> Stock <input type="checkbox"/> Partnership <input type="checkbox"/> Leasehold <input checked="" type="checkbox"/> Other <u>Limited partnership</u>	
<input type="checkbox"/> Check box if additional schedules reporting investments or real property are attached	

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <u>Leonard Shaffer</u>

1. BUSINESS ENTITY OR TRUST

Tobey Harriet Shaffer/Clara Sheffer Unitrust

Name

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

____/____/____
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☐ Partnership ☐ Sole Proprietorship ☐ _____ Other

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☒ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☒ None or ☐ Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☒ INVESTMENT ☐ REAL PROPERTY

See attached list of investments

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property

Claremont College

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

- ☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

____/____/____
ACQUIRED DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☒ Other Life estate

☐ Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST

Leonard J. Shaffer & Tobey H. Shaffer Inter Vivos Trust

Name

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

____/____/____
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☐ Partnership ☐ Sole Proprietorship ☐ _____ Other

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☒ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☒ None or ☐ Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☒ REAL PROPERTY

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE

IF APPLICABLE, LIST DATE:

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

____/____/____
ACQUIRED DISPOSED

NATURE OF INTEREST

☒ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☐ Other _____

☐ Check box if additional schedules reporting investments or real property are attached

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name Leonard Shaffer

1. BUSINESS ENTITY OR TRUST

Leonard J. Shaffer & Tobey H. Shaffer Inter Vivos Trust

Name
[REDACTED]

Address (Business Address Acceptable)
[REDACTED]

Check one
☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input type="checkbox"/> \$0 - \$1,999	____/____/____	____/____/____
<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000		
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INVESTMENT
☐ Partnership ☐ Sole Proprietorship ☐ Other _____

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input checked="" type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☒ None or ☐ Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
☒ INVESTMENT ☐ REAL PROPERTY

Amgen Inc

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Drug Manufacturer

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input checked="" type="checkbox"/> \$2,000 - \$10,000	____/____/____	____/____/____
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST
☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☐ Other _____

☐ Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST

Name

Address (Business Address Acceptable)

Check one
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input type="checkbox"/> \$0 - \$1,999	____/____/____	____/____/____
<input type="checkbox"/> \$2,000 - \$10,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$10,001 - \$100,000		
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INVESTMENT
☐ Partnership ☐ Sole Proprietorship ☐ Other _____

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☐ None or ☐ Names listed below

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

<input type="checkbox"/> \$2,000 - \$10,000	____/____/____	____/____/____
<input type="checkbox"/> \$10,001 - \$100,000	ACQUIRED	DISPOSED
<input type="checkbox"/> \$100,001 - \$1,000,000		
<input type="checkbox"/> Over \$1,000,000		

NATURE OF INTEREST
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☐ Other _____

☐ Check box if additional schedules reporting investments or real property are attached

Comments: _____

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES

CGU - Davidson 7% Standard Unitrust #5
Claremont Graduate University - 263-2730005

December 31, 2018

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
Cash and Money Market Funds									
	City National Bank Insured Money Market		4,730.11		4,730.11	7.8	1.400	66.22	1.4
			<u>4,730.11</u>		<u>4,730.11</u>	<u>7.8</u>		<u>66.22</u>	<u>1.4</u>
Fixed Income Mutual Funds - Taxable									
1,231.194	Vanguard Short-Term Inv Grade Fund Admiral	10.69	13,167.07	10.44	12,853.67	21.2	0.284	349.92	2.7
			<u>13,167.07</u>		<u>12,853.67</u>	<u>21.2</u>		<u>349.92</u>	<u>2.7</u>
Equity Mutual Funds									
855.041	T. Rowe Price Equity Income Fund	31.20	26,674.79	27.30	23,342.62	38.5	0.730	624.18	2.7
345.007	T. Rowe Price Growth Stock Fund	48.41	16,700.83	57.11	19,703.35	32.5	0.110	37.95	0.2
			<u>43,375.62</u>		<u>43,045.97</u>	<u>71.0</u>		<u>662.13</u>	<u>1.5</u>
TOTAL PORTFOLIO			61,272.80		60,629.74	100.0		1,078.27	1.8

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES

CGU - Davidson 7% Standard Unitrust #6

Claremont Graduate University - 263-2730006

December 31, 2018

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
Cash and Money Market Funds									
	City National Bank Insured Money Market		7,124.23		7,124.23	6.0	1.400	99.74	1.4
			7,124.23		7,124.23	6.0		99.74	1.4
Fixed Income Mutual Funds - Taxable									
2,919.591	Vanguard Short-Term Inv Grade Fund Admiral	10.69	31,206.74	10.44	30,480.53	25.8	0.284	829.78	2.7
			31,206.74		30,480.53	25.8		829.78	2.7
Common Stock									
35	Abbott Labs	21.90	766.59	72.33	2,531.55	2.1	1.280	44.80	1.8
20	Accenture PLC	36.65	732.96	141.01	2,820.20	2.4	2.920	58.40	2.1
10	Allergan Inc	190.70	1,907.00	133.66	1,336.60	1.1	2.880	28.80	2.2
2	Alphabet Inc Class A	510.90	1,021.79	1,044.96	2,089.92	1.8	0.000	0.00	0.0
15	Apple Inc.	91.13	1,366.92	157.74	2,366.10	2.0	2.920	43.80	1.9
75	Bank of New York Mellon Corp.	28.50	2,137.16	47.07	3,530.25	3.0	1.120	84.00	2.4
19	Berkshire Hathaway B	81.18	1,542.33	204.18	3,879.42	3.3	0.000	0.00	0.0
50	Bristol-Myers Squibb Co.	50.68	2,533.93	51.98	2,599.00	2.2	1.640	82.00	3.2
40	Cerner	53.92	2,156.86	52.44	2,097.60	1.8	0.000	0.00	0.0
70	Colgate-Palmolive Co.	25.27	1,768.75	59.52	4,166.40	3.5	1.680	117.60	2.8
60	ConocoPhillips	46.88	2,813.09	62.35	3,741.00	3.2	1.220	73.20	2.0

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES

CGU - Davidson 7% Standard Unitrust #6

Claremont Graduate University - 263-2730006

December 31, 2018

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
21	Costco Wholesale Corp.	27.57	578.97	203.71	4,277.91	3.6	2.280	47.88	1.1
60	Discovery Inc.	25.50	1,530.09	23.08	1,384.80	1.2	0.000	0.00	0.0
31	Emerson Electric Co.	48.72	1,510.20	59.75	1,852.25	1.6	1.960	60.76	3.3
40	ExxonMobil Corporation	39.66	1,586.25	68.19	2,727.60	2.3	3.280	131.20	4.8
47	Fastenal Co.	41.62	1,956.25	52.29	2,457.63	2.1	1.600	75.20	3.1
85	General Electric	16.09	1,367.92	7.57	643.45	0.5	0.040	3.40	0.5
15	Johnson & Johnson	52.01	780.09	129.05	1,935.75	1.6	3.360	50.40	2.6
40	JPMorgan Chase & Co.	44.77	1,790.74	97.62	3,904.80	3.3	3.200	128.00	3.3
40	Lowe's Companies	3.68	147.23	92.36	3,694.40	3.1	1.920	76.80	2.1
50	Mondelez International	44.28	2,214.15	40.03	2,001.50	1.7	1.040	52.00	2.6
55	Nordstrom Inc.	40.31	2,216.87	46.61	2,563.55	2.2	1.480	81.40	3.2
25	Novartis AG ADS	73.04	1,826.02	85.81	2,145.25	1.8	2.488	62.20	2.9
85	Oracle Systems	16.80	1,428.06	45.15	3,837.75	3.2	0.760	64.60	1.7
29	Paypal Hldgs Inc Com	34.98	1,014.44	84.09	2,438.61	2.1	0.000	0.00	0.0
35	Schlumberger Ltd	27.02	945.53	36.08	1,262.80	1.1	2.000	70.00	5.5
25	Stericycle, Inc.	76.62	1,915.60	36.69	917.25	0.8	0.000	0.00	0.0
10	Stryker Corporation	44.09	440.87	156.75	1,567.50	1.3	2.080	20.80	1.3
20	The Walt Disney Company	106.33	2,126.59	109.65	2,193.00	1.9	1.760	35.20	1.6
20	United Parcel Service	107.60	2,152.08	97.53	1,950.60	1.6	3.640	72.80	3.7
25	United Technologies	75.39	1,884.66	106.48	2,662.00	2.2	2.940	73.50	2.8

PORTFOLIO APPRAISAL (TRADE AMOUNT) - SETTLED TRADES

CGU - Davidson 7% Standard Unitrust #6
Claremont Graduate University - 263-2730006

December 31, 2018

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unit Income	Annual Income	Yield
69	Wells Fargo & Co.	19.81	1,366.89	46.08	3,179.52	2.7	1.720	118.68	3.7
			49,526.87		80,755.96	68.2		1,757.42	2.2
TOTAL PORTFOLIO			87,857.84		118,360.72	100.0		2,686.94	2.3



Ethics Commission
200 N Spring Street
City Hall — 24th Floor
Los Angeles, CA 90012
(213) 978-1960
ethics.lacity.org

Restricted Source Financial Disclosure Statement Form 60

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.

☒ **Original Filing** ☐ **Amended Filing** (original filed on ____/____/20____)

Total Pages: 1

Name: Shaffer, Leonard J
(Last, First, Middle)

Agency: Neighborhood Empowerment, Department of

Position: Commissioner

Phone:

Email:

Type of Statement: ☒ **Pre-confirmation** Date of nomination: 08 / 03 / 2019
 ☐ **Assuming Office** First day in position: ____ / ____ / 2016
 ☐ **Annual** ____ / ____ / 2015 through December 31, 2015
 ☐ **Leaving Office** Last day in office: ____ / ____ / 20____

I had the following interests associated with restricted sources during this reporting period:

- ☐ **1. REAL PROPERTY** — *section attached.*
Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source.
- ☐ **2. INVESTMENTS** — *section attached.*
Investments (other than real property) co-owned by, purchased from, or sold to a restricted source.
- ☐ **3. INCOME** — *section attached.*
Income received from a restricted source.
- ☐ **4. GIFTS** — *section attached.*
Gifts, cumulatively valued at \$50 or more, received from a restricted source.
- ☐ **5. BOARD POSITIONS** — *section attached.*
Positions held on the board of a restricted source.

- Or -

- ☒ **6. NO INTERESTS**
I had no interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

Certification

I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form and the information I have provided is true and complete.

08/17/2019 06:58 PM

Date

Signature